

SHAREHOLDING PATTERN UNDER REGULATION 31 OF SECURITIES AND EXCHANGE BOARD OF INDIA (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015

- 1 Name of Listed Entity : **SUZLON ENERGY LIMITED [CIN: L40100GJ1995PLC025447]**
- 2 Scrip Code : **532667 (BSE)**
- 3 Name of Scrip : **SUZLON (NSE)**
- 4 Class of Security : **Equity Shares of Rs.2 each**
- 5 Shareholding Pattern filed under Reg. 31(1)(a) / 31(1)(b) / 31(1)(c) : **31(1)(b)**
- 6 a. if under 31(1)(b) then indicate the report for quarter ending : **September 30, 2016**
- 7 b. if under 31(1)(c) then indicate the date of allotment / extinguishment : **Not Applicable**
- 8 Declarations : **Yes / No**
- 9 Particulars : **No**
- 10 i) Whether the Company has issued any partly paid up shares? : **Yes**
- 11 ii) Whether the Company has issued any Convertible Securities or Warrants? : **Yes**
- 12 **The outstanding balances of FCCBs under various tranches issued by the Company are as under:**
- 13 **Tranche**
- 14 **USD 546,916,000 Step-up Convertible Bonds due 2019**
- 15 **Whether the Company has any shares against which depository receipts are issued?** : **Yes**
- 16 **Whether the Company has any shares in lock-in?** : **Yes**
- 17 **Whether any shares held by promoters are pledged or otherwise encumbered?** : **Yes**

Outstanding Amount (USD)

: **247,826,000**

TABLE I - SUMMARY STATEMENT HOLDING OF SPECIFIED SECURITIES

Category code	Category of shareholder	No. of share-holders	No. of fully paid up equity shares held	No. of partly paid up equity shares held	No. of shares underlying DRs	Total no. of equity shares held	Share-holding as a % of total no. of shares [as a % of A+B+C2]	No. of Voting Rights held in each class of securities	Class X - Equity	Class Y - Total as a % of Total Voting rights i.e. [as a % of A+B+C]	No. of shares under-lying o/s conv. Sec. (incl. warrant)	Share-holding as a % assuming full conv. of conv. sec (as a % of diluted cap.i.e. A+B+C2)	No. of locked in shares		No. of shares pledged or otherwise encumbered		No. of equity shares held in demat form
													No. (b)	As a % of total shares held (b)	No. (e)	As a % of total shares held (b)	
		(iii)	(iv)	(v)	(vi)	(vii) = (iv)+(v)+(vi)	(viii)	(ix)			(x)	(xi) = (vii)+(x)	(xii)				
(A)	Promoter and Promoter Group	28	1052784456	0	0	1052784456	21.00	1052784456	0	20.95	0	17.61	133930731	12.72	1008076625	95.75	1052784456
(B)	Public	981178	3959876170	0	0	3959876170	79.00	3959876170	0	78.81	965415321	82.39	0	0.00	NA	NA	3959821272
(C)	Non Promoter Non Public	1	0	0	11738324	11738324	NA	11738324	0	0.23	0	0	0	0	NA	NA	11738324
(C1)	Shares underlying Depository Receipts (DRs)	0	0	0	0	0	0.00	0	0	0.00	0	0	0	0	NA	NA	0
(C2)	Shares held by Employee Trusts	0	0	0	0	0	0.00	0	0	0.00	0	0	0	0	NA	NA	0
	Total [A+B+C]	981207	5012660626	0	11738324	5024398950	100.00	5024398950	0	100.00	965415321	100.00	133930731	2.67	1008076625	20.06	5024344052

For Suzlon Energy Limited

H.A. Kharagi

Company Secretary



TABLE II - STATEMENT SHOWING SHAREHOLDING PATTERN OF THE PROMOTER AND PROMOTER GROUP

Category	Category of shareholder	PAN	No. of share-holders	No. of fully paid up equity shares held	No. of partly paid up equity shares held	No. of underlying shares held	No. of underlying DRs	Total no. of equity shares held	Share-holding as a % of total shares (as a % of A+B+C2)	No. of Voting Rights held in each class of securities		No. of shares under-lying o/s conv. Sec. (incl. warrant)	Share-holding as a % assuming full conv. of a % of diluted cap.i.e. A+B+C2	No. of locked in shares		No. of shares pledged or otherwise encumbered	No. of equity shares held in demat form
										Class X - Equity	Class Y			No. (a)	As a % of total shares held (b)		
(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)	(10)	(11)	(12)	(13)	(14)	(15)	(16)	(17)	(18)
(a)	Indian	(i)		(iv)	(v)	(vi)	(vii)	(viii)	(ix)	(x)	(xi)	(xii)	(xiii)	(xiv)			
1	Individuals / HUFs																
1	Tulsi R.Tanti	AARPT6363J	1	3905000	0	0	0	3905000	0.08	3905000	0	0	0.07	0	0	3904253	99.98
2	Gita T. Tanti	AASPT6380Q	1	64512000	0	0	0	64512000	1.29	64512000	0	0	1.08	0	0	64512000	100.00
3	Tulsi R.Tanti as karta of Tulsi Ranchhodhbhai HUF	AAAHT4207Q	1	18000000	0	0	0	18000000	0.36	18000000	0	0	0.30	0	0	18000000	100.00
4	Tulsi R.Tanti as karta of Ranchhodhbhai Ramjijbhai HUF	AAAHT4206R	1	42570000	0	0	0	42570000	0.85	42570000	0	0	0.71	0	0	22400000	52.62
5	Tulsi R.Tanti J/w. Vinod R.Tanti J/w. Jitendra R.Tanti	AAATR1895E	1	42660000	0	0	0	42660000	0.85	42660000	0	0	0.71	0	0	42660000	100.00
6	Vinod R.Tanti	AARPT6367N	1	11367000	0	0	0	11367000	0.23	11367000	0	0	0.19	0	0	11367000	100.00
7	Jitendra R.Tanti	AARPT6364R	1	12400000	0	0	0	12400000	0.25	12400000	0	0	0.21	0	0	12400000	100.00
8	Sangita V.Tanti	AARPT6365Q	1	70182000	0	0	0	70182000	1.40	70182000	0	0	1.17	0	0	70182000	100.00
9	Jina J.Tanti	AARPT6366P	1	70182000	0	0	0	70182000	1.40	70182000	0	0	1.17	0	0	70182000	100.00
10	Rambhoben Ukabhai	AASPT6381R	1	3000	0	0	0	3000	0.00	3000	0	0	0.00	0	0	3000	100.00
11	Vinod R.Tanti as karta of Vinod Ranchhodhbhai HUF	AAAHT4200K	2	18900000	0	0	0	18900000	0.38	18900000	0	0	0.32	0	0	9500000	50.26
12	Jitendra R.Tanti as karta of Jitendra Ranchhodhbhai HUF	AAAHT4199P	1	12723000	0	0	0	12723000	0.25	12723000	0	0	0.21	0	0	12723000	100.00
13	Pranav T.Tanti	ADJPT9753B	2	59067000	0	0	0	59067000	1.18	59067000	0	0	0.99	0	0	59067000	100.00
14	Nidhi T.Tanti	ADJPT9754G	1	3052000	0	0	0	3052000	0.06	3052000	0	0	0.05	0	0	3000000	98.30
15	Rajan V.Tanti	AFJPT0671F	1	16605000	0	0	0	16605000	0.33	16605000	0	0	0.28	0	0	16605000	100.00
16	Brij J.Tanti	AFJPT4629M	1	37117000	0	0	0	37117000	0.74	37117000	0	0	0.62	0	0	37100000	99.95
17	Trisha J.Tanti	AFJPT4641R	1	15120000	0	0	0	15120000	0.30	15120000	0	0	0.25	0	0	15100000	99.87
18	Girish R.Tanti	ABFPT3310E	2	100019000	0	0	0	100019000	2.00	100019000	0	0	1.67	0	0	100018711	100.00
(b)	Central Govt. / State Govt.		0	0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0
(c)	Financial Institutions / Banks		0	0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0
(d)	Any other (specify)		0	0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0
i)	Bodies Corporate																
1	Tanti Holdings Pvt. Ltd.	AAACS1420K	4	158901093	0	0	0	158901093	3.17	158901093	0	0	2.66	0	0	158400000	99.68
2	Sugati Holdings Pvt. Ltd.	AAACS3009H	1	262497868	0	0	0	262497868	5.24	262497868	0	0	4.39	0	0	247960661	94.46
3	Samanvaya Holdings Pvt.Ltd.	AAACS3004L	2	33001495	0	0	0	33001495	0.66	33001495	0	0	0.55	0	0	33000000	100.00
	Sub-Total (A1)		28	1052784456	0	0	0	1052784456	21.00	1052784456	0	0	17.61	0	0	1008076625	95.75
2	Foreign																
(a)	Individuals/NRIs/Foreign Individuals		0	0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0
(b)	Government		0	0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0
(c)	Institutions		0	0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0
(d)	Foreign Portfolio Investor		0	0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0
(e)	Any other (specify)		0	0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0
	Sub-Total (A2)		28	1052784456	0	0	0	1052784456	21.00	1052784456	0	0	17.61	0	0	1008076625	95.75
	Total Promoters (A = A1 + A2)		28	1052784456	0	0	0	1052784456	21.00	1052784456	0	0	17.61	0	0	1008076625	95.75

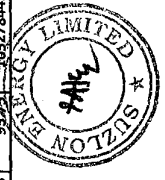


TABLE III - STATEMENT SHOWING SHAREHOLDING PATTERN OF THE PUBLIC SHAREHOLDERS

Category code	Category of shareholder	PAN	No. of share-holders	No. of fully paid up equity shares held	No. of partly paid up equity shares held	No. of shares underlying DRs	Total no. of equity shares held	Share-holding no. of shares (as a % of A+B+C2)	No. of Voting Rights held in each class of securities		No. of shares under-lying o/s conv. Sec. (incl. warrant)	Share-sec. holding as a % of conv. of conv. diluted cap. i.e. A+B+C2	No. of locked in shares		No. of shares pledged or otherwise encumbered		No. of equity shares held in demat form
									Class X - Equity	Class Y			No. (a)	As a % of total shares held (b)	No. (a)	As a % of total shares held (b)	
	(i)	(ii)	(iii)	(iv)	(v)	(vi)	(vii) = (iv)+(v)+(vi)	(viii)	(ix)	(x)	(xi) = (vii)+(x)	(xii)	(xiii)	(xiv)	(xv)	(xvi)	(xvii)
(1)	Institutions																
(a)	Mutual Funds		37	73828554	0	0	73828554	1.47	73828554	0	1.47	1.23	0	0.00	0.00	NA	73828554
(b)	Venture Capital Funds		0	0	0	0	0	0.00	0	0	0.00	0.00	0	0.00	0.00	NA	0
(c)	Alternate Investment Funds		0	0	0	0	0	0.00	0	0	0.00	0.00	0	0.00	0.00	NA	0
(d)	Foreign Venture Capital Investor		0	0	0	0	0	0.00	0	0	0.00	0.00	0	0.00	0.00	NA	0
(e)	Foreign Portfolio Investors		122	625287848	0	0	625287848	12.47	625287848	0	12.45	26.61	0	0.00	0.00	NA	625287848
	COWELL & LEE INVESTMENT (MAJURTIUS) LIMITED	AAECC9969K	1	132986172	0	0	132986172	2.65	132986172	0	2.65	2.22	0	0.00	0.00	NA	132986172
(f)	Financial Institutions / Banks		29	345115903	0	0	345115903	6.88	345115903	0	6.87	5.77	0	0.00	0.00	NA	345115903
	STATE BANK OF INDIA	AAACS8577K	1	50904874	0	0	50904874	1.02	50904874	0	1.01	0.85	0	0.00	0.00	NA	50904874
(g)	Insurance Companies		2	90232017	0	0	90232017	1.80	90232017	0	1.80	1.51	0	0.00	0.00	NA	90232017
	LIFE INSURANCE CORPORATION OF INDIA	AAAGL0682H	1	89932017	0	0	89932017	1.79	89932017	0	1.79	1.50	0	0.00	0.00	NA	89932017
(h)	Any Other (specify)		0	0	0	0	0	0.00	0	0	0.00	0.00	0	0.00	0.00	NA	0
(2)	Central Govt. / State Govt. (B1)		190	1134464322	0	0	1134464322	22.63	1134464322	0	22.58	35.13	0	0.00	0.00	NA	1134464322
(3)	Non-institutions		0	0	0	0	0	0.00	0	0	0.00	0.00	0	0.00	0.00	NA	0
(a)	Individuals																
(i)	Individuals and HUFs holding nominal capital up to Rs.2 Lacs		965952	1075309454	0	0	1075309454	21.45	1075309454	0	21.40	17.99	0	0.00	0.00	NA	1075309454
(ii)	Individuals and HUFs holding nominal capital in excess of Rs.2 Lacs		1066	560351284	0	0	560351284	11.18	560351284	0	11.15	9.37	0	0.00	0.00	NA	560351284
(b)	Vipha D.Shanghvi	ANIPS2068P	1	68000000	0	0	68000000	1.36	68000000	0	1.35	1.14	0	0.00	0.00	NA	68000000
	Aalok Dilip Shanghvi	AXLPS4835P	1	68000000	0	0	68000000	1.36	68000000	0	1.35	1.14	0	0.00	0.00	NA	68000000
	Vidhi D.Shanghvi	BCMPS0940L	1	68000000	0	0	68000000	1.36	68000000	0	1.35	1.14	0	0.00	0.00	NA	68000000
(b)	NBFCs registered with RBI		21	1831522	0	0	1831522	0.04	1831522	0	0.04	0.03	0	0.00	0.00	NA	1831522
(c)	Employee Trusts		0	0	0	0	0	0.00	0	0	0.00	0.00	0	0.00	0.00	NA	0
(d)	Overseas Depositories (holding DRs) (bal figure)		0	0	0	0	0	0.00	0	0	0.00	0.00	0	0.00	0.00	NA	0
(e)	Any Other (specify)																
	Bodies Corporate		4117	1098158178	0	0	1098158178	21.91	1098158178	0	21.86	18.37	0	0.00	0.00	NA	1098158178
	Aditya Medisales Ltd./w. Unimed Investments Ltd./w. Ms. Vidhi Shanghvi in the capacity of partners of M/s. Expert Vision	AAFFE0668H	1	55000000	0	0	55000000	1.10	55000000	0	1.09	0.92	0	0.00	0.00	NA	55000000
	Neostar Developers LLP	AAIFN7108C	1	85000000	0	0	85000000	1.70	85000000	0	1.69	1.42	0	0.00	0.00	NA	85000000
	Real Gold Developers LLP	AAAMFR2044P	1	85000000	0	0	85000000	1.70	85000000	0	1.69	1.42	0	0.00	0.00	NA	85000000
	Tejaskiran Pharmchem Industries Pvt. Ltd./w. Virtuous Finance Pvt. Ltd./w. Aalok D. Shanghvi in the capacity of partners of M/s. Goldenstar Enterprises	AAOFG0104D	1	100900000	0	0	100900000	2.01	100900000	0	2.01	1.69	0	0.00	0.00	NA	100900000



Sr. No.	Name of shareholders acting as persons in concert including their shareholding (No. and %)	No. of fully paid up equity shares held	Total as a % of A+B+C
1	Family Investment Pvt. Ltd.	22500000	0.45
2	Quality Investment Pvt. Ltd.	25400000	0.51
3	Viditi Investment Pvt. Ltd.	31000000	0.62
4	Virtuous Finance Pvt. Ltd.	18500000	0.37
5	Virtuous Share Investments Pvt. Ltd.	13000000	0.26
6	Tejaskiran Pharmachem Industries Pvt. Ltd.	27900000	0.56
7	Family Investment Pvt. Ltd. /w. Quality Investment Pvt. Ltd. /w. Kumud S. Shanghvi in the capacity of partners of M/s. Sunrise Associates	100900000	2.01
8	Tejaskiran Pharmachem Industries Pvt. Ltd. /w. Virtuous Finance Pvt. Ltd. /w. Alok D. Shanghvi in the capacity of partners of M/s. Goldstar Enterprises	100900000	2.01
9	Viditi Investment Pvt. Ltd. /w. Virtuous Share Investments Pvt. Ltd. /w. Vibha Shanghvi in the capacity of partners of M/s. Pioneer Resources	100900000	2.01
10	Aditya Medicines Ltd. /w. Unimed Investments Ltd. /w. Ms. Vidhi Shanghvi in the capacity of partners of M/s. Expert Vision	55000000	1.10
11	Alok D. Shanghvi	68000000	1.35
12	Vibha Shanghvi	68000000	1.35
13	Vidhi D. Shanghvi	68000000	1.35
14	Neostar Developers LLP	85000000	1.69
15	Real Gold Developers LLP	85000000	1.69
16	Suraksha Buildwell LLP	110000000	2.19
17	Suphir V. Valla	29295	0.00
18	Raksha S. Valla	25000	0.00
19	Vijay M. Parekh	5000000	0.10
20	Parekh M. Parekh	5000000	0.10
	Total	990054295	19.72

Note: Names of all holders holding more than 1% of total number of shares are required to be given

Details of shareholders acting as persons in concert including their shareholding (No. and %)

Sr. No.	Name of shareholders acting as persons in concert including their shareholding (No. and %)	No. of fully paid up equity shares held	Total as a % of A+B+C
1	Family Investment Pvt. Ltd.	22500000	0.45
2	Quality Investment Pvt. Ltd.	25400000	0.51
3	Viditi Investment Pvt. Ltd.	31000000	0.62
4	Virtuous Finance Pvt. Ltd.	18500000	0.37
5	Virtuous Share Investments Pvt. Ltd.	13000000	0.26
6	Tejaskiran Pharmachem Industries Pvt. Ltd.	27900000	0.56
7	Family Investment Pvt. Ltd. /w. Quality Investment Pvt. Ltd. /w. Kumud S. Shanghvi in the capacity of partners of M/s. Sunrise Associates	100900000	2.01
8	Tejaskiran Pharmachem Industries Pvt. Ltd. /w. Virtuous Finance Pvt. Ltd. /w. Alok D. Shanghvi in the capacity of partners of M/s. Goldstar Enterprises	100900000	2.01
9	Viditi Investment Pvt. Ltd. /w. Virtuous Share Investments Pvt. Ltd. /w. Vibha Shanghvi in the capacity of partners of M/s. Pioneer Resources	100900000	2.01
10	Aditya Medicines Ltd. /w. Unimed Investments Ltd. /w. Ms. Vidhi Shanghvi in the capacity of partners of M/s. Expert Vision	55000000	1.10
11	Alok D. Shanghvi	68000000	1.35
12	Vibha Shanghvi	68000000	1.35
13	Vidhi D. Shanghvi	68000000	1.35
14	Neostar Developers LLP	85000000	1.69
15	Real Gold Developers LLP	85000000	1.69
16	Suraksha Buildwell LLP	110000000	2.19
17	Suphir V. Valla	29295	0.00
18	Raksha S. Valla	25000	0.00
19	Vijay M. Parekh	5000000	0.10
20	Parekh M. Parekh	5000000	0.10
	Total	990054295	19.72



Name of the shareholders who are persons acting in concert, if available shall be disclosed separately:

- 1 The Promoter Group as defined under Regulation 2(1)(t) of Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers Regulations, 2011, (SAST Regulations 2011)) is as under:
Tulsi R.Tanti, Gita T.Tanti, Tulsi R.Tanti as karta of Ranchhodbhaj HUF, Tulsi R.Tanti as karta of Ranchhodbhaj Ramjibhaj HUF, Vinod R.Tanti /w. Jitendra R.Tanti, Tanti Holdings Private Limited, Vinod R.Tanti, Jitendra R.Tanti, Sangita V.Tanti, Lina J.Tanti, Rambhoben Ukabhai, Vinod R.Tanti as karta of Vinod Ranchhodbhaj HUF, Jitendra R.Tanti as karta of Jitendra Ranchhodbhaj HUF, Pranav T.Tanti, Nidhi T.Tanti, Rajan V.Tanti, Bhij J.Tanti, Trisha J.Tanti, Girish R.Tanti, Sugati Holdings Private Limited and Samanvaya Holdings Private Limited.
- 2 The Persons Acting in Concert (PACs), as defined in the SAST Regulations 2011 for the purpose of Regulation 10 of SAST Regulations, 2011 are as under:
Family Investment Pvt. Ltd., Quality Investment Pvt. Ltd., Viditi Investment Pvt. Ltd., Virtuous Finance Pvt. Ltd., Tejasiran Pharmachem Industries Pvt Ltd, M/s. Sunrise Associates, M/s. Goldenstar Enterprises, M/s. Pioneer Resources, M/s. Expert Vision, Aalok D. Shanghvi, Vibha Shanghvi, Vidhi D Shanghvi, Neostar Developers LLP, Real Gold Developers LLP, Suraksha Buildwell LLP, Sudhir V. Valia, Raksha S. Valia, Vijay M. Parekh and Pares M. Parekh.
- 3 PACs are acting in concert with the Promoter Group based on Clause 4.4 of the Shareholders Agreement entered into between the Promoter Group, PACs and the Company.



TABLE IV - STATEMENT SHOWING SHAREHOLDING PATTERN OF THE NON-PROMOTER - NON-PUBLIC SHAREHOLDER

Category code	Category of shareholder	PAN	No. of shareholders	No. of fully paid up equity shares held	No. of partly paid up equity shares held	No. of shares underlying DTS	Total no. of equity shares held	Shareholding as a % of total no. of shares (as a % of A+B+C2)	No. of Voting Rights held in each class of securities		No. of shares underlying of/underlying conv. Sec. (incl. warrant)	Shareholding as a % assuming full conv. of conv. sec (as a % of diluted cap.i.e. A+B+C2)	No. of locked in shares		No. of shares pledged or otherwise encumbered	No. of equity shares held in demat form
									Class X - Equity	Class Y			No. (a)	As a % of total shares held (b)		
			(III)	(IV)	(V)	(VI)	(VII) = IV+(V)+(VI)	(VIII)	(IX)	(X)	(XI) = VIII+(X)	(XII)	(XIII)	(XIV)	(XV)	
(1)	Custodian / DR holder	-	1	11738324	0	0	11738324	0.23	11738324	0	0.23	0	0.00	0	NA	11738324
(2)	Employee Benefit Trust under SEBI (Share based Employee Benefit) Regulations, 2014	-	0	0	0	0	0	0.00	0	0	0.00	0	0.00	0	NA	0
	Total Non Promoter - Non Public Shareholding [C = C1 + C2]		1	11738324	0	0	11738324	0.23	11738324	0	0.23	0	0.00	0	NA	11738324

Note: Names of all holders holding more than 1% of total number of shares are required to be given

