

SHAREHOLDING PATTERN UNDER REGULATION 31 OF SECURITIES AND EXCHANGE BOARD OF INDIA (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015

- 1 Name of Listed Entity : **SUZLON ENERGY LIMITED [CIN: L40100GJ1995PLC025447]**
- 2 Scrip Code : **532667 (BSE)**
- Name of Scrip: **SUZLON (NSE)**
- Class of Security: **Equity Shares of Rs.2 each**
- 3 Shareholding Pattern filed under Reg. 31(1)(a) / 31(1)(b) / 31(1)(c) : **31(1)(b)**
- a. if under 31(1)(b) then indicate the report for quarter ending: **June 30, 2016**
- b. if under 31(1)(c) then indicate the date of allotment / extinguishment: **Not Applicable**

- 4 Declarations: : **Yes / No**
- S.N. Particulars : **Yes / No**
- i) Whether the Company has issued any partly paid up shares? **No**
- ii) Whether the Company has issued any Convertible Securities or Warrants? **Yes**

The outstanding balances of FCCBs under various tranches issued by the Company are as under:

Tranche **Outstanding Amount (USD)**

USD 546,916,000 Step-up Convertible Bonds due 2019 : **248,826,000**

- iii) Whether the Company has any shares against which depository receipts are issued? **Yes**
- iv) Whether the Company has any shares in lock-in? **Yes**
- v) Whether any shares held by promoters are pledged or otherwise encumbered? **Yes**

TABLE I - SUMMARY STATEMENT HOLDING OF SPECIFIED SECURITIES

Category code	Category of shareholder	No. of share-holders	No. of fully paid up equity shares held	No. of partly paid up equity shares held	No. of shares underlying DRs	Total no. of equity shares held	Share-holding as a % of total no. of shares (as a % of A+B+C2)	No. of Voting Rights held in each class of securities		Share-holding as a % assuming full conv. of conv. sec (as a % of diluted capite. A+B+C2)	No. of locked in shares		No. of shares pledged or otherwise encumbered		No. of equity shares held in demat form
								Class X - Equity	Class Y		No. (a)	As a % of total shares held (b)	No. (a)	As a % of total shares held (b)	
(i)	(ii)	(iii)	(iv)	(v)	(vi)	(vii) = (vi)+(v)+(iv)	(viii)	(ix)	(x)	(xi) = (vii)+(x)	(xii)	(xiii)	(xiv)	(xv)	(xvi)
(A)	Promoter and Promoter Group	28	1052784456	0	0	1052784456	21.02	1052784456	0	0	133930731	12.72	1008076625	95.75	1052784456
(B)	Public	988880	3956820482	0	0	3956820482	78.98	3956820482	0	82.39	0	0.00	NA	NA	3956773668
(C)	Non Promoter Non Public														
(C1)	Shares underlying Depository Receipts (DRs)	1	0	0	10898476	10898476	NA	10898476	0	0	0	0	NA	NA	10898476
(C2)	Shares held by Employee Trusts	0	0	0	0	0	0.00	0	0	0	0	0	NA	NA	0
	Total [A+B+C]	988909	5009604938	0	10898476	5020503414	100.00	5020503414	0	100.00	133930731	2.67	1008076625	20.08	5020456600

For Suzlon Energy Limited

H.A. Kamra

Company Secretary



TABLE II - STATEMENT SHOWING SHAREHOLDING PATTERN OF THE PROMOTER AND PROMOTER GROUP

Category code	Category of shareholder	PAN	No. of share-holders	No. of fully paid up equity shares held	No. of partly paid up equity shares held	No. of shares underlying DRs	Total no. of equity shares held	Share-holding as a % of total shares (as a % of A+B+C2)	No. of Voting Rights held in each class of securities		No. of shares underlying o/s conv. Sec. (incl. warrant)	Share-holding as a % assuming full conv. of a % of diluted cap. i.e. A+B+C2	No. of locked in shares		No. of shares pledged or otherwise encumbered	No. of equity shares held in demat form		
									Class X - Equity	Class Y			No. (a)	As a % of total shares held (b)			No. (a)	As a % of total shares held (b)
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = IV+V+VI	(VIII)	(IX)	(X)	(XI) = VII+IX	(XII)	(XIII)	(XIV)	(XV)	(XVI)	(XVII)		
(1)	Indian																	
(a)	Individuals / HUFs																	
1	Tulsis R. Tanti	AARPT6363J	1	39050000	0	0	39050000	0.08	0	0.08	0	0.07	0	0	3904253	99.98	39050000	
2	Gita T. Tanti	AASPT6380Q	1	64512000	0	0	64512000	1.29	0	1.28	0	1.08	0	0	64512000	100.00	64512000	
3	Tulsis R. Tanti as karta of Tulsis Ranchhodhbhai HUF	AAAH74207Q	1	18000000	0	0	18000000	0.36	0	0.36	0	0.30	0	0	18000000	100.00	18000000	
4	Tulsis R. Tanti as karta of Ranchhodhbhai Ramjibhai HUF	AAAH74206R	1	42570000	0	0	42570000	0.85	0	0.85	0	0.71	0	0	22400000	52.62	42570000	
5	Jitendra R. Tanti	AAATR1895E	1	42660000	0	0	42660000	0.85	0	0.85	0	0.71	0	0	42660000	100.00	42660000	
6	Vinod R. Tanti	AARPT6367N	1	11367000	0	0	11367000	0.23	0	0.23	0	0.19	0	0	11367000	100.00	11367000	
7	Jitendra R. Tanti	AARPT6364R	1	12400000	0	0	12400000	0.25	0	0.25	0	0.21	0	0	12400000	100.00	12400000	
8	Sangita V. Tanti	AARPT6365Q	1	70182000	0	0	70182000	1.40	0	1.40	0	1.17	0	0	70182000	100.00	70182000	
9	Lina J. Tanti	AARPT6366P	1	70182000	0	0	70182000	1.40	0	1.40	0	1.17	0	0	70182000	100.00	70182000	
10	Rambhoben Ukabhai	AASPT6381R	1	3000	0	0	3000	0.00	0	0.00	0	0.00	0	0	0	0.00	3000	
11	Vinod R. Tanti as karta of Vinod Ranchhodhbhai HUF	AAAH74200K	2	18900000	0	0	18900000	0.38	0	0.38	0	0.32	0	0	9500000	50.26	18900000	
12	Jitendra R. Tanti as karta of Jitendra Ranchhodhbhai HUF	AAAH74199P	1	12723000	0	0	12723000	0.25	0	0.25	0	0.21	0	0	12723000	100.00	12723000	
13	Pranav T. Tanti	ADJPT9753B	2	59067000	0	0	59067000	1.18	0	1.18	0	0.99	0	0	59067000	100.00	59067000	
14	Nidhi T. Tanti	ADJPT9754G	1	3052000	0	0	3052000	0.06	0	0.06	0	0.05	0	0	3000000	98.30	3052000	
15	Rajan V. Tanti	AFIPT0671F	1	16605000	0	0	16605000	0.33	0	0.33	0	0.28	0	0	16605000	99.97	16605000	
16	Brij J. Tanti	AFIPT4629M	1	37117000	0	0	37117000	0.74	0	0.74	0	0.62	0	0	37100000	99.95	37117000	
17	Trisha J. Tanti	AFIPT4641R	1	15120000	0	0	15120000	0.30	0	0.30	0	0.25	0	0	15100000	99.87	15120000	
18	Giftish R. Tanti	AFIPT3310E	2	100019000	0	0	100019000	2.00	0	1.99	0	1.67	0	0	100018711	100.00	100019000	
(c)	Financial institutions / Banks		0	0	0	0	0	0.00	0	0.00	0	0.00	0	0	0	0.00	0	
(d)	Any other (specify)		0	0	0	0	0	0.00	0	0.00	0	0.00	0	0	0	0.00	0	
(j)	Bodies Corporate		0	0	0	0	0	0.00	0	0.00	0	0.00	0	0	0	0.00	0	
1	Tanti Holdings Pvt. Ltd.	AAACS1420K	4	158901093	0	0	158901093	3.17	0	3.17	0	2.66	0	0	158400000	99.68	158901093	
2	Sugati Holdings Pvt. Ltd.	AAJCS3009H	1	262497868	0	0	262497868	5.24	0	5.23	0	4.39	0	133950731	51.02	247950661	94.46	262497868
3	Samanvaya Holdings Pvt. Ltd.	AAJCS3004L	2	33001495	0	0	33001495	0.66	0	0.66	0	0.55	0	0	33000000	100.00	33001495	
	Sub-Total (A1)		28	1052784456	0	0	1052784456	21.02	0	20.97	0	17.61	0	133950731	12.72	1008076625	95.75	1052784456
2	Foreign		0	0	0	0	0	0.00	0	0.00	0	0.00	0	0	0	0.00	0	
(a)	Individuals/NRIs/Foreign Individuals		0	0	0	0	0	0.00	0	0.00	0	0.00	0	0	0	0.00	0	
(b)	Government		0	0	0	0	0	0.00	0	0.00	0	0.00	0	0	0	0.00	0	
(c)	Institutions		0	0	0	0	0	0.00	0	0.00	0	0.00	0	0	0	0.00	0	
(d)	Foreign Portfolio Investor		0	0	0	0	0	0.00	0	0.00	0	0.00	0	0	0	0.00	0	
(e)	Any other (specify)		0	0	0	0	0	0.00	0	0.00	0	0.00	0	0	0	0.00	0	
	Sub-Total (A2)		0	0	0	0	0	0.00	0	0.00	0	0.00	0	0	0	0.00	0	
	Total Promoters (A = A1 + A2)		28	1052784456	0	0	1052784456	21.02	0	20.97	0	17.61	0	133950731	12.72	1008076625	95.75	1052784456

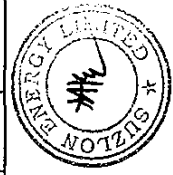


TABLE III - STATEMENT SHOWING SHAREHOLDING PATTERN OF THE PUBLIC SHAREHOLDERS

Category	No. of shareholders	No. of fully paid up equity shares held	No. of equity shares underlying DRs	Total no. of equity shares held	Shareholding as a % of total no. of shares (as a % of A+B+C2)	No. of Voting Rights held in each class of securities	% of Total Voting rights i.e. (as a % of A+B+C)	No. of shares underlying of conv. Sec. (incl. warrant)	Shareholding as a % assuming full conv. of conv. sec. (as a % of diluted cap. i.e. A+B+C2)	No. of locked in shares	No. of shares pledged or otherwise encumbered	No. of equity shares held in demat form
(I)	(II)	(IV)	(V)	(VII) = (IV+V)	(VIII)	(IX)	(X)	(XI) = (VII+X)	(XII)	(XIII)	(XIV)	(XV)
(a) Institutions	37	73922443	0	73922443	1.48	73922443	1.47	0	1.24	0	0.00	73922443
(b) Mutual Funds	0	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(c) Venture Capital Funds	0	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(d) Alternate Investment Funds	0	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(e) Foreign Venture Capital Investor	0	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(f) Foreign Portfolio Investors	120	632989943	0	632989943	12.64	632989943	12.61	969310857	26.80	0	0.00	632989943
(g) COWELL & LEE INVESTMENT (MAURITIUS) LIMITED	1	132986172	0	132986172	2.65	132986172	2.65	0	2.22	0	0.00	132986172
(h) Financial Institutions / Banks	30	353027345	0	353027345	7.05	353027345	7.03	0	5.90	0	0.00	353027345
(i) IDBI BANK LTD.	1	54399258	0	54399258	1.09	54399258	1.08	0	0.91	0	0.00	54399258
(j) STATE BANK OF INDIA	1	51104874	0	51104874	1.02	51104874	1.02	0	0.85	0	0.00	51104874
(k) Insurance Companies	2	90232017	0	90232017	1.80	90232017	1.80	0	1.51	0	0.00	90232017
(l) LIFE INSURANCE CORPORATION OF INDIA	1	89932017	0	89932017	1.80	89932017	1.79	0	1.50	0	0.00	89932017
(m) Any Other (Specify)	0	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(n) Central Govt. / State Govt. (B1)	189	1150180748	0	1150180748	22.86	1150180748	22.91	969310857	35.45	0	0.00	1150180748
(o) Non-institutions	0	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(p) Individuals	973581	1058654248	0	1058654248	21.13	1058654248	21.09	0	17.71	0	0.00	1058654248
(q) Individuals and HUFs holding nominal capital up to Rs. 2 Lacs	1070	558082609	0	558082609	11.14	558082609	11.12	0	9.33	0	0.00	558082609
(r) Individuals and HUFs holding nominal capital in excess of Rs. 2 Lacs												
(s) Vibha D. Shanghvi	1	68000000	0	68000000	1.36	68000000	1.35	0	1.14	0	0.00	68000000
(t) Aalok Dilip Shanghvi	1	68000000	0	68000000	1.36	68000000	1.35	0	1.14	0	0.00	68000000
(u) Vidhi D. Shanghvi	1	68000000	0	68000000	1.36	68000000	1.35	0	1.14	0	0.00	68000000
(v) NBFCs registered with RBI	23	2125580	0	2125580	0.04	2125580	0.04	0	0.04	0	0.00	2125580
(w) Employee Trusts	0	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(x) Overseas Depositories (holding DRs) (bal. figure)	0	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(y) Any Other (Specify)												
(z) Bodies Corporate	4191	1108084689	0	1108084689	22.12	1108084689	22.07	0	18.53	0	0.00	1108084689
(aa) Aditya Medisales Ltd. /w. Unimed Investments Ltd. /w. Ms. Vidhi Shanghvi in the capacity of partners of M/s. Expert Vision	1	55000000	0	55000000	1.10	55000000	1.10	0	0.92	0	0.00	55000000
(ab) NeoStar Developers LLP	1	85000000	0	85000000	1.70	85000000	1.69	0	1.42	0	0.00	85000000
(ac) Real Gold Developers LLP	1	85000000	0	85000000	1.70	85000000	1.69	0	1.42	0	0.00	85000000
(ad) TejasKiran Pharmachem Industries Pvt. Ltd. /w. Virtuuous Finance Pvt. Ltd. /w. Aalok D. Shanghvi in the capacity of partners of M/s. Goldenstar Enterprises	1	1009000000	0	1009000000	2.01	1009000000	2.01	0	1.69	0	0.00	1009000000



Sr. No.	Name of shareholders acting as persons acting in concert	No. of fully paid up equity shares held	Total as a % of A+B+CJ	1	1009000000	0	1009000000	2.01	1009000000	0	2.01	0	1.69	0	0.00	NA	NA	1009000000
	Viditi Investment Pvt. Ltd. /w. Virtuous Share Investments Pvt. Ltd. /w. Vibha Shanghai in the capacity of partners of M/s. Pioneer Resources	AAQFP8544A		1	1009000000	0	1009000000	2.01	1009000000	0	2.01	0	1.69	0	0.00	NA	NA	1009000000
	Suraksha Buildwell LLP	ABTFS2875D		1	1100000000	0	1100000000	2.20	1100000000	0	2.19	0	1.84	0	0.00	NA	NA	1100000000
	Family Investment Pvt. Ltd. /w. Quality Investment Pvt. Ltd. /w. Kumud S. Shanghai in the capacity of partners of M/s. Sunrise Associates	ACFS98186		1	1009000000	0	1009000000	2.01	1009000000	0	2.01	0	1.69	0	0.00	NA	NA	1009000000
	Clearing Members			471	11447589	0	11447589	0.23	11447589	0	0.23	0	0.19	0	0.00	NA	NA	11447589
	Trusts			30	3569185	0	3569185	0.07	3569185	0	0.07	0	0.05	0	0.00	NA	NA	3569185
	Non Resident Indians			9815	61720804	0	61720804	1.23	61720804	0	1.23	0	1.03	0	0.00	NA	NA	61720804
	Foreign Corporate Bodies			1	3081030	0	3081030	0.06	3081030	0	0.06	0	0.05	0	0.00	NA	NA	3081030
	Foreign Nationals			9	74000	0	74000	0.00	74000	0	0.00	0	0.00	0	0.00	NA	NA	74000
	Sub-Total(e)			14017	118777297	0	118777297	23.71	118777297	0	23.66	0	19.87	0	0	NA	NA	118776297
	Total Public (B = B1+B2+B3)			988691	2806639734	0	2806639734	56.03	2806639734	0	55.90	0	46.94	0	0.00	NA	NA	2806592920
	Total Public (B = B1+B2+B3)			988880	3956820482	0	3956820482	78.98	3956820482	0	78.81	0	82.39	0	0.00	NA	NA	3956773668

Note: Names of all holders holding more than 1% of total number of shares are required to be given

Details of shareholders acting as persons in concert including their shareholding (No. and %)

Sr. No.	Name of shareholders acting as persons acting in concert	No. of fully paid up equity shares held	Total as a % of A+B+CJ
1	Family Investment Pvt. Ltd.	225000000	0.45
2	Quality Investment Pvt. Ltd.	254000000	0.51
3	Viditi Investment Pvt. Ltd.	310000000	0.62
4	Virtuous Finance Pvt. Ltd.	185000000	0.37
5	Virtuous Share Investments Pvt. Ltd.	130000000	0.26
6	Tejashri Pharmachem Industries Pvt. Ltd.	279000000	0.56
7	Family Investment Pvt. Ltd. /w. Quality Investment Pvt. Ltd. /w. Kumud S. Shanghai in the capacity of partners of M/s. Sunrise Associates	1009000000	2.01
8	Tejashri Pharmachem Industries Pvt. Ltd. /w. Virtuous Finance Pvt. Ltd. /w. Alok D. Shanghai in the capacity of partners of M/s. Goldenstar Enterprises	1009000000	2.01
9	Viditi Investment Pvt. Ltd. /w. Virtuous Share Investments Pvt. Ltd. /w. Vibha Shanghai in the capacity of partners of M/s. Pioneer Resources	1009000000	2.01
10	Aditya Medicals Ltd. /w. United Investments Ltd. /w. Ms. Vidhi Shanghai in the capacity of partners of M/s. Expert Vision	550000000	1.10
11	Alok D. Shanghai	680000000	1.35
12	Vibha Shanghai	680000000	1.35
13	Vidhi D. Shanghai	680000000	1.35
14	Necstar Developers LLP	850000000	1.69
15	Real Gold Developers LLP	850000000	1.69
16	Suraksha Buildwell LLP	1100000000	2.19
17	Sudhir V. Valla	5004295	0.10
18	Raksha S. Valla	5000000	0.10
19	Vijay M. Parekh	5000000	0.10
20	Parash M. Parekh	5000000	0.10
	Total	10000004295	19.92



Name of the shareholders who are persons acting in concert, if available shall be disclosed separately:

- 1 The Promoter Group as defined under Regulation 2(1)(g) of Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers Regulations, 2011), (SAST Regulations 2011) is as under:
Tulsi R.Tanti, Gita T.Tanti, Tulsi R.Tanti as karta of Ranchhodhbhai HUF, Tulsi R.Tanti as karta of Ranchhodhbhai Ramjibhai HUF, Tulsi R.Tanti /w. Vinod R.Tanti /w. Jitendra R.Tanti, Tanti Holdings Private Limited, Vinod R.Tanti, Jitendra R.Tanti, Sangita V.Tanti, Lina J.Tanti, Rambhoben Ukabhai, Vinod R.Tanti as karta of Vinod Ranchhodhbhai HUF, Jitendra R.Tanti as karta of Jitendra Ranchhodhbhai HUF, Pranav T.Tanti, Nidhi T.Tanti, Rajan V.Tanti, Brij J.Tanti, Trisha J.Tanti, Girish R.Tanti, Sugati Holdings Private Limited and Samanvaya Holdings Private Limited.
- 2 The Persons Acting in Concert (PACs), as defined in the SAST Regulations 2011 for the purpose of Regulation 10 of SAST Regulations, 2011 are as under:
Family Investment Pvt. Ltd., Quality Investment Pvt. Ltd., Viditi Investment Pvt. Ltd., Virtuous Finance Pvt. Ltd., Tejasikiran Pharmachem Industries Pvt Ltd, M/s. Sunrise Associates, M/s. Goldenstar Enterprises, M/s. Pioneer Resources, M/s. Expert Vision, Aalok D. Shanghi, Vibha Shanghi, Vidhi D Shanghi, Neostar Developers LLP, Real Gold Developers LLP, Suraksha Buildwell LLP, Suchir V. Vaila, Raksha S. Vaila, Vijay M. Parekh and Presh M. Parekh. Out of total shares held by PACs, 1000000000 shares held by the PACs were allotted pursuant to preferential offer made to them and 4295 shares were acquired by PACs under open offer.
- 3 PACs are acting in concert with the Promoter Group based on Clause 4.4 of the Shareholders Agreement entered into between the Promoter Group, PACs and the Company.



TABLE IV - STATEMENT SHOWING SHAREHOLDING PATTERN OF THE NON-PROMOTER - NON-PUBLIC SHAREHOLDER

Category code	Category of shareholder	PAN	No. of shareholders	No. of fully paid up equity shares held	No. of shares partly paid up equity shares held	No. of shares underlying DRs	Total no. of equity shares held	Share-holding as a % of total (as a % of A+B+C2)	No. of Voting Rights held in each class of securities			No. of shares under-lying o/s conv. Sec. (incl. warrant)	Share-holding as a % assuming full conv. of conv. sec (as a % of diluted cap i.e. A+B+C2)	No. of locked in shares		No. of shares pledged or otherwise encumbered		No. of equity shares held in demat form	
									Class X - Equity	Class Y	Total as a % of Total Voting rights i.e. (as a % of A+B+C)			No. (a)	As a % of total shares held (b)	No. (a)	As a % of total shares held (b)		
		(D)	(E)	(F)	(G)	(H)	(I) = (F)+(G)+(H)	(J)	(K)	(L)	(M)	(N) = (M)+(K)	(O)	(P)	(Q)	(R)	(S)	(T)	
(1)	Custodian / DR Holder	-	1	10898476	0	0	10898476	0.22	10898476	0	0	0.00	0	0	0	0	0	0	10898476
(2)	Employee Benefit Trust under SEBI (Share based Employee Benefit) Regulations, 2014	-	0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0	0	0	0
	Total Non Promoter - Non Public Shareholding [C = C1 +C2]		1	10898476	0	0	10898476	0.22	10898476	0	0	0	0	0	0	0	0	0	10898476

Note: Names of all holders holding more than 1% of total number of shares are required to be given

