

SHAREHOLDING PATTERN UNDER REGULATION 31 OF SECURITIES AND EXCHANGE BOARD OF INDIA (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015

- 1 Name of Listed Entity : SUZLON ENERGY LIMITED (CIN: L40100GJ1995PLC025447)
- 2 Scrip Code : 532667 (BSE)
- Name of Scrip : SUZLON (NSE)
- Class of Security: Equity Shares of Rs.2 each
- 3 Shareholding Pattern filed under Reg. 31(1)(a) / 31(1)(b) / 31(1)(c)
- a. if under 31(1)(b) then indicate the report for quarter ending: **31(1)(b)**
- b. if under 31(1)(c) then indicate the date of allotment / extinguishment: **December 31, 2017**
- 4 Declarations: : Not Applicable
- S.N. Particulars : Yes / No
- i) Whether the Company has issued any partly paid up shares? : No
- ii) Whether the Company has issued any Convertible Securities or Warrants? : Yes
- The outstanding balances of FCCBs issued by the Company are as under:**
- Tranche : **Outstanding Amount (USD)**
- USD 546,916,000 Step-up Convertible Bonds due 2019 : 172,002,000
- iii) Whether the Company has any shares against which depository receipts are issued? : Yes
- iv) Whether the Company has any shares in lock-in? : No
- v) Whether any shares held by promoters are pledged or otherwise encumbered? : Yes

TABLE I - SUMMARY STATEMENT HOLDING OF SPECIFIED SECURITIES

Category code	Category of shareholder	No. of share-holders	No. of fully paid up equity shares held	No. of partly paid up equity shares held	No. of shares underlying DRs	Total no. of equity shares held	Share-holding as a % of total no. of shares (as a % of A+B+C2)	No. of Voting Rights held in each class of securities		No. of shares under-lying of/s conv. Sec. (incl. warrant)	Share-holding as a % assuming full conv. of conv. sec. (as a % of diluted cap. i.e. A+B+C2)	No. (a) of locked in shares	No. of shares pledged or otherwise encumbered		No. of equity shares held in demat form
								Class X - Equity	Class Y				No. (e)	As a % of total shares held (b)	
(i)	(ii)	(iii)	(iv)	(v)	(vi)	(vii) = (iv)+(v)+(vi)	(viii)	(ix)	(x)	(xi) = (vii)+(x)	(xii)	(xiii)	(xiv)	(xv)	(xvi)
(A)	Promoter and Promoter Group	30	1052784456	0	0	1052784456	19.82	1052784456	0	17.60	0	0.00	1046310914	99.39	1052784456
(B)	Public	1036120	4257942401	0	0	4257942401	80.18	4257942401	0	82.40	0	0.00	NA	NA	4257827942
(C)	Non Promoter Non Public														
(C1)	Shares underlying Depository Receipts (DRs)	1	0	0	9047264	9047264	NA	0	0	0	0	0	NA	NA	9047264
(C2)	Shares held by Employee Trusts	0	0	0	0	0	0.00	0	0	0	0	0	NA	NA	0
	Total (A+B+C)	1036151	5310726857	0	9047264	5319774121	100.00	5319774121	0	100.00	670040150	0	1046310914	19.67	5319659662



For Suzlon Energy Limited
H.A. Kanyga
 Company Secretary

TABLE II - STATEMENT SHOWING SHAREHOLDING PATTERN OF THE PROMOTER AND PROMOTER GROUP

Category code	Category of shareholder	PAN	No. of share-holders	No. of fully paid up equity shares held	No. of partly paid up equity shares held	No. of underlying shares	Total no. of equity shares held	Share-holding as a % of total no. of shares (as a % of A+B+C2)	No. of Voting Rights held in each class of securities	Total as a % of Total Voting rights i.e. (as a % of A+B+C)	No. of shares underlying o/s conv. Sec. (incl. warrant)	Share-holding as a % assuming full conv. of a % of dilutee cap. i.e. A+B+C2	No. of locked in shares	No. of shares pledged or otherwise encumbered	No. of equity shares held in demat form
(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)	(10)	(11)	(12)	(13)	(14)	(15)	(16)
	Indian														
(a)	Individuals / HUFs														
1	Tulsi R. Tanti	AARPT6367N	1	3905000	0	0	3905000	0.07	0	0.07	0	0.07	0	3904253	99.98
2	Gita T. Tanti	AASPT6380Q	1	64512000	0	0	64512000	1.21	0	1.21	0	1.08	0	64512000	100.00
3	Tulsi R. Tanti as karta of Tulsi Ranchhodhai HUF	AAAH14207Q	1	18000000	0	0	18000000	0.34	0	0.34	0	0.30	0	18000000	100.00
4	Tulsi R. Tanti as karta of Ranchhodhai Ramjibhai HUF	AAAH14206R	1	42570000	0	0	42570000	0.80	0	0.80	0	0.71	0	36697082	86.20
5	Tulsi R. Tanti J/w. Vinod R. Tanti J/w. Jitendra R. Tanti	AAATR1895E	1	42660000	0	0	42660000	0.80	0	0.80	0	0.71	0	42660000	100.00
6	Vinod R. Tanti	AARPT6367N	1	11367000	0	0	11367000	0.21	0	0.21	0	0.19	0	11367000	100.00
7	Jitendra R. Tanti	AARPT6364R	1	12400000	0	0	12400000	0.23	0	0.23	0	0.21	0	12400000	100.00
8	Sangita V. Tanti	AARPT6365Q	1	70182000	0	0	70182000	1.32	0	1.32	0	1.17	0	70182000	100.00
9	Lina J. Tanti	AARPT6366P	1	70182000	0	0	70182000	1.32	0	1.32	0	1.17	0	70182000	100.00
10	Rambhoben Ukabhai Vinod R. Tanti as karta of Vinod Ranchhodhai HUF	AASPT6381R	2	18900000	0	0	18900000	0.36	0	0.36	0	0.32	0	18900000	100.00
11	Jitendra R. Tanti as karta of Jitendra Ranchhodhai HUF	AAAH14199P	2	12723000	0	0	12723000	0.24	0	0.24	0	0.21	0	12723000	100.00
12	Pranav T. Tanti	ADJPT9753B	2	59067000	0	0	59067000	1.11	0	1.11	0	0.99	0	59067000	100.00
13	Nishi T. Tanti	ADJPT9754G	1	3052000	0	0	3052000	0.06	0	0.06	0	0.05	0	3000000	98.30
14	Rajah V. Tanti	AFIPT0671F	1	16605000	0	0	16605000	0.31	0	0.31	0	0.28	0	16605000	99.97
15	Brij J. Tanti	AFIPT4629M	1	37117000	0	0	37117000	0.70	0	0.70	0	0.62	0	37100000	99.95
16	Trisha J. Tanti	AFIPT4641R	1	15120000	0	0	15120000	0.28	0	0.28	0	0.25	0	15100000	99.87
17	Garish R. Tanti	ABFPT3310E	2	100019000	0	0	100019000	1.88	0	1.88	0	1.67	0	100018711	100.00
18	Central Govt. / State Govt.		0	0	0	0	0	0.00	0	0.00	0	0.00	0	0	0.00
19	Financial Institutions / Banks		0	0	0	0	0	0.00	0	0.00	0	0.00	0	0	0.00
20	Any other (specify)		0	0	0	0	0	0.00	0	0.00	0	0.00	0	0	0.00
(b)	Bodies Corporate														
1	Tanti Holdings Pvt. Ltd.	AAACS1420K	4	158901093	0	0	158901093	2.99	0	2.99	0	2.66	0	158400000	99.68
2	Sugati Holdings Pvt. Ltd.	AAJCS3009H	2	262497868	0	0	262497868	4.94	0	4.93	0	4.39	0	262497868	100.00
3	Samanvaya Holdings Pvt. Ltd.	AAUCS3004L	2	33001495	0	0	33001495	0.62	0	0.62	0	0.55	0	33000000	100.00
2	Foreign		30	1052784456	0	0	1052784456	19.82	0	19.79	0	17.60	0	1046310914	99.39
(a)	Individuals/NRIs/Foreign Individuals		0	0	0	0	0	0.00	0	0.00	0	0.00	0	0	0.00
(b)	Government		0	0	0	0	0	0.00	0	0.00	0	0.00	0	0	0.00
(c)	Institutions		0	0	0	0	0	0.00	0	0.00	0	0.00	0	0	0.00
(d)	Foreign Portfolio Investor		0	0	0	0	0	0.00	0	0.00	0	0.00	0	0	0.00
(e)	Any other (specify)		0	0	0	0	0	0.00	0	0.00	0	0.00	0	0	0.00
	Sub-Total (A1)		30	1052784456	0	0	1052784456	19.82	0	19.79	0	17.60	0	1046310914	99.39
	Sub-Total (A2)		30	1052784456	0	0	1052784456	19.82	0	19.79	0	17.60	0	1046310914	99.39
	Total Promoters (A = A1 + A2)		30	1052784456	0	0	1052784456	19.82	0	19.79	0	17.60	0	1046310914	99.39





Category code	Category of shareholder	PAN	No. of share-holders	No. of fully paid up equity shares held	No. of partly paid up equity shares held	No. of shares underlying DRs	Total no. of equity shares held	Share-holding as a % of total no. of shares (as a % of A+B+C2)	No. of Voting Rights held in each class of securities	No. of shares underlying conv. Sec. (incl. warrant)	Share-holding as a % assuming full conv. of diluted cap. i.e. A+B+C2	No. of locked in shares		No. of shares pledged or otherwise encumbered	No. of equity shares held in demat form
												(a)	(b)		
(i)	(ii)	(iii)	(iv)	(v)	(vi)	(vii)	(viii)	(ix)	(x)	(xi)	(xii)	(xiii)	(xiv)		
(1) Institutions															
(a) Mutual Funds			41	211695477	0	0	211695477	3.99	211695477	0	3.54	0	0.00	NA	211695477
(b) Venture Capital Funds			0	0	0	0	0	0.00	0	0	0.00	0	0.00	NA	0
(c) Alternate Investment Funds			0	0	0	0	0	0.00	0	0	0.00	0	0.00	NA	0
(d) Foreign Venture Capital Investor			0	0	0	0	0	0.00	0	0	0.00	0	0.00	NA	0
(e) Foreign Portfolio Investors			102	588812975	0	0	588812975	11.09	588812975	0	21.05	0	0.00	NA	588812975
(f) COWELL & LEE INVESTMENT (MAURITIUS) LIMITED	AAEC9969K		1	111506181	0	0	111506181	2.10	111506181	0	1.86	0	0.00	NA	111506181
(g) MORGAN STANLEY (FRANCE) S.A.	AAKCM6204B		1	81662786	0	0	81662786	1.54	81662786	0	1.37	0	0.00	NA	81662786
(h) Financial Institutions / Banks			24	193696342	0	0	193696342	3.65	193696342	0	3.24	0	0.00	NA	193696342
(i) Insurance Companies			1	89932017	0	0	89932017	1.69	89932017	0	1.50	0	0.00	NA	89932017
(j) LIFE INSURANCE CORPORATION OF INDIA	AAACLG582H		1	89932017	0	0	89932017	1.69	89932017	0	1.50	0	0.00	NA	89932017
(k) Any Other (specify)			0	0	0	0	0	0.00	0	0	0.00	0	0.00	NA	0
(l) Central Govt. / State Govt. (B2)			168	1084136811	0	0	1084136811	20.41	1084136811	0	29.33	0	0.00	NA	1084136811
(m) Non-institutions			0	0	0	0	0	0.00	0	0	0.00	0	0.00	NA	0
(n) Individuals and HUFs holding nominal capital up to Rs.2 Lacs			1020063	1328192760	0	0	1328192760	25.01	1328192760	0	22.21	0	0.00	NA	1328078301
(o) Individuals and HUFs holding nominal capital in excess of Rs.2 Lacs			1231	634319094	0	0	634319094	11.94	634319094	0	10.61	0	0.00	NA	634319094
(p) Vibha D. Shingvi	ANF52068P		1	68000000	0	0	68000000	1.28	68000000	0	1.14	0	0.00	NA	68000000
(q) Aalok Dilip Shingvi	AXLPS4835P		1	68000000	0	0	68000000	1.28	68000000	0	1.14	0	0.00	NA	68000000
(r) Vidhi D. Shingvi	BCWPS0940L		1	68000000	0	0	68000000	1.28	68000000	0	1.14	0	0.00	NA	68000000
(s) NBFCs registered with RBI			21	21211114	0	0	21211114	0.04	21211114	0	0.04	0	0.00	NA	21211114
(t) Employee Trusts			0	0	0	0	0	0.00	0	0	0.00	0	0.00	NA	0
(u) Overseas Depositories (holding DRs) (bal figure)			0	0	0	0	0	0.00	0	0	0.00	0	0.00	NA	0
(v) Any Other (specify)			3885	1081751993	0	0	1081751993	20.37	1081751993	0	18.09	0	0.00	NA	1081751993
(w) Bodies Corporate			1	138300000	0	0	138300000	2.60	138300000	0	2.31	0	0.00	NA	138300000
(x) Cannon Realty Pvt. Ltd. /w. Sun Fastfin Services Pvt. Ltd. in the capacity of partners of M/s. GEE SIX Enterprises	AAQFG4147A		1	55000000	0	0	55000000	1.04	55000000	0	0.92	0	0.00	NA	55000000
(y) Aditya Medisales Ltd. /w. Unimed Investments Ltd. /w. M/s. Vidhi Shingvi in the capacity of partners of M/s. Expert Vision	AAFFE0688H		1	85000000	0	0	85000000	1.60	85000000	0	1.42	0	0.00	NA	85000000
(z) Nestar Developers LLP	AAIN7108C		1	85000000	0	0	85000000	1.60	85000000	0	1.42	0	0.00	NA	85000000
(aa) Real Gold Developers LLP	AAAFR2044P		1	85000000	0	0	85000000	1.60	85000000	0	1.42	0	0.00	NA	85000000
(ab) Tejasrikan Pharmachem Industries Pvt. Ltd. /w. Virtuuous Finance Pvt. Ltd. /w. Aalok D. Shingvi in the capacity of partners of M/s. Goldenstar Enterprises	AAQFG0104D		1	100900000	0	0	100900000	1.90	100900000	0	1.69	0	0.00	NA	100900000

Category code	Category of shareholder	PAN	No. of share-holders	No. of fully paid up equity shares held	No. of partly paid up equity shares held	No. of shares underlying DRs	Total no. of equity shares held	Share-holding as a % of total no. of shares (as a % of A+B+C2)	No. of Voting Rights held in each class of securities	No. of shares underlying conv. Sec. (Incl. warrant)	Share-holding as a % assuming full conv. of conv. sec. (as a % of diluted cap. i.e. A+B+C2)	No. of locked in shares		No. of shares pledged or otherwise encumbered		No. of equity shares held in demat form	
												(i)	(ii)	(iii)	(iv)		(v)
(i)	(ii)	(iii)	(iv)	(v)	(vi)	(vii)	(viii)	(ix)	(x)	(xi)	(xii)	(xiii)	(xiv)	(xv)	(xvi)	(xvii)	
	Viditi Investment Pvt. Ltd. /w. Virtuous Share Investments Pvt. Ltd. /w. Vibha Shanghvi in the capacity of partners of M/s. Pioneer Resources	AAQFP8544A	1	100900000	0	0	100900000	1.90	100900000	0	1.69	0	0	0.00	NA	NA	100900000
	Suraksha Buildwell LLP	ABF52875D	1	110000000	0	0	110000000	2.07	110000000	0	1.84	0	0	0.00	NA	NA	110000000
	Family Investment Pvt. Ltd. /w. Kumud S. Shanghvi in the capacity of partners of M/s. Sunrise Associates	ACSF9818G	1	100900000	0	0	100900000	1.90	100900000	0	1.69	0	0	0.00	NA	NA	100900000
	Clearing Members		592	32758518	0	0	32758518	0.62	32758518	0	0.55	0	0	0.00	NA	NA	32758518
	Trusts		76	1361735	0	0	1361735	0.03	1361735	0	0.02	0	0	0.00	NA	NA	1361735
	Non Resident Indians		7128	74675582	0	0	74675582	1.41	74675582	0	1.25	0	0	0.00	NA	NA	74675582
	Non Resident Indians Non Repatriable		2998	17779808	0	0	17779808	0.33	17779808	0	0.30	0	0	0.00	NA	NA	17779808
	Foreign Corporate Bodies		2	778736	0	0	778736	0.01	778736	0	0.01	0	0	0.00	NA	NA	778736
	Foreign Nationals		6	66250	0	0	66250	0.00	66250	0	0.00	0	0	0.00	NA	NA	66250
	Sub-Total (B3)		14637	1209172622	0	0	1209172622	22.77	1209172622	0	20.22	0	0	0.00	NA	NA	1209172622
	Total Public (B = B1+B2+B3)		1038952	3173805590	0	0	3173805590	59.76	3173805590	0	53.07	0	0	0.00	NA	NA	3173805590
			1036120	4257942401	0	0	4257942401	80.18	4257942401	0	82.40	0	0	0.00	NA	NA	4257942401

Note: Names of all holders holding more than 1% of total number of shares are required to be given



Sr. No.	Category of shareholder	PAN	No. of share-holders	No. of fully paid up equity shares held	No. of partly paid up equity shares held	No. of shares underlying DRs	Total no. of equity shares held	Share-holding as a % of total no. of shares (as a % of A+B+C2)	No. of Voting Rights held in each class of securities		No. of shares under-lying o/s conv. sec. (incl. warrant)	Share-holding as a % assuming full conv. of diluted cap.i.e. A+B+C2	No. of locked in shares		No. of shares pledged or otherwise encumbered	No. of equity shares held in demat form
									Class X- Equity	Class Y- Voting rights i.e. (as a % of A+B+C)			No. (a)	As a % of total shares held (b)		
Details of shareholders acting as persons in concert including their shareholding (No. and %)																
Sr. No.	Name shareholders acting as persons acting in concert	No. of fully paid up equity shares held	(iii)	(iv)	(v)	(vi)	(vii - IV+V+VI)	(viii)	(ix)		(x)	(xi = VII+X)	(xii)	(xiii)	(xiv)	
1	Family Investment Pvt. Ltd. /w. Quality Investment Pvt. Ltd. /w. Kumud S. Shingvi in the capacity of partners of M/s. Sunrise Associates	100900000		1.90												
2	Tejaakran Pharmachem Industries Pvt. Ltd. /w. Virtuuous Finance Pvt. Ltd. /w. Aalok D. Shingvi in the capacity of partners of M/s. Goldenstar Enterprises	100900000		1.90												
3	Vidhi Investment Pvt. Ltd. /w. Virtuuous Share Investments Pvt. Ltd. /w. Vibha Shingvi in the capacity of partners of M/s. Pioneer Resources	100900000		1.90												
4	Aolhya Medicisies Ltd. /w. Unlimed Investments Ltd. /w. Ms. Vidhi Shingvi in the capacity of partners of M/s. Expert Vision	55000000		1.03												
5	Aalok D. Shingvi	68000000		1.28												
6	Vibha Shingvi	68000000		1.28												
7	Vidhi D. Shingvi	68000000		1.28												
8	Neostar Developers LLP	85000000		1.60												
9	Real Gold Developers LLP	85000000		1.60												
10	Suraksha Buildwell LLP	110000000		2.07												
11	Sudhir V. Valia	29295		0.00												
12	Raksha S. Valia	25000		0.00												
13	Vijay M. Parekh	5000000		0.09												
14	Pareesh M. Parekh	5000000		0.09												
15	Gannon Realty Pvt. Ltd. /w. Sun Fastfin Services Pvt. Ltd. in the capacity of partners of M/s. GEE SIX Enterprises	138300000		2.60												
	Total	990054295		18.63												

Name of the shareholders who are persons acting in concert, if available shall be disclosed separately:

- The Promoter Group as defined under Regulation 2(1)(c) of Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011, (SAST Regulations, 2011) is as under:
Tulsi R. Tanti, Gita T. Tanti, Tulsi R. Tanti as karta of Ranchhodhbhai Ramjibhai HUF, Tulsi R. Tanti /w. Vinod R. Tanti /w. Jitendra R. Tanti, Tanti Holdings Private Limited, Vinod R. Tanti, Jitendra R. Tanti, Sanjita V. Tanti, Sanjita V. Tanti, Ramabhaven Ukabhai, Vinod R. Tanti as karta of Vinod Ranchhodhbhai HUF, Jitendra R. Tanti as karta of Jitendra Ranchhodhbhai HUF, Pranav T. Tanti, Nighi T. Tanti, Rajan V. Tanti, Brij J. Tanti, Trisha J. Tanti, Girish R. Tanti, Sugati Holdings Private Limited and Samanvaya Holdings Private Limited.
- The Persons Acting in Concert (PACs), as defined in the SAST Regulations 2011 for the purpose of Regulation 10 of SAST Regulations, 2011 are as under:
M/s. GEE SIX Enterprises, M/s. Sunrise Associates, M/s. Goldenstar Enterprises, M/s. Pioneer Resources, M/s. Expert Vision, Aalok D. Shingvi, Vibha Shingvi, Vidhi D. Shingvi, Neostar Developers LLP, Real Gold Developers LLP, Suraksha Buildwell LLP, Sudhir V. Valia, Raksha S. Valia, Vijay M. Parekh and Pareesh M. Parekh.
- PACs are acting in concert with the Promoter Group based on Clause 4.4 of the Shareholders Agreement entered into between the Promoter Group, PACs and the Company.



TABLE IV - STATEMENT SHOWING SHAREHOLDING PATTERN OF THE NON-PROMOTER - NON-PUBLIC SHAREHOLDER

Category code	Category of shareholder	PAN	No. of share holders	No. of fully paid up equity shares held	No. of partly paid up equity shares held	No. of shares underlying DRs	Total no. of equity shares held	Share-holding as a % of total no. of shares (as a % of A+B+C2)	No. of Voting Rights held in each class of securities			No. of shares under-lying o/s conv. Sec. (incl. warrant)	Share-holding as a % assuming full conv. of conv. sec (as a % of diluted cap. i.e. A+B+C2)	No. of locked in shares		No. of shares pledged or otherwise encumbered		No. of equity shares held in demat form	
									Class X - Equity	Class Y	Total as a % of Total Voting rights i.e. (as a % of A+B+C2)			No. (a)	As a % of total shares held (b)	No. (a)	As a % of total shares held (b)		
	(i)	(ii)	(iii)	(iv)	(v)	(vi)	(vii) = iv+v+vi	(viii)	(ix)			(x)	(xi)		(xii)		(xiv)		
(1)	Custodian / DR Holder	-	1	9047264	0	0	9047264	0.17	9047264	0	0.17	0	0.00	0	0	0	NA	NA	9047264
(2)	Employee Benefit Trust under SEBI (Share based Employee Benefit) Regulations, 2014	-	0	0	0	0	0	0.00	0	0	0.00	0	0.00	0	0	0	NA	NA	0
	Total Non Promoter - Non Public Shareholding [C = C1 +C2]		1	9047264	0	0	9047264	0.17	9047264	0	0.17	0	0	0	0	0	NA	NA	9047264

Note: Names of all holders holding more than 1% of total number of shares are required to be given

