

SHAREHOLDING PATTERN UNDER REGULATION 31 OF SECURITIES AND EXCHANGE BOARD OF INDIA (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015

1 Name of Listed Entity : **SUZLON ENERGY LIMITED [CIN: L40100GJ1995PLC025447]**

2 Scrip Code : **532667 (BSE)**

3 Name of Scrip : **SUZLON (NSE)**

4 Class of Security: **Equity Shares of Rs.2 each**

5 Shareholding Pattern filed under Reg. 31(1)(a) / 31(1)(b) / 31(1)(c) : **31(1)(b)**

6 a. If under 31(1)(b) then indicate the report for quarter ending: **December 31, 2015**

7 b. If under 31(1)(c) then indicate the date of allotment / extinguishment: **Not Applicable**

8 Declarations: : **Yes / No**

9 S.N. Particulars : **No**

10 i) Whether the Company has issued any partly paid up shares? **Yes**

11 ii) Whether the Company has issued any Convertible Securities or Warrants? **Yes**

12 **The outstanding balances of FCCBs under various tranches issued by the Company are as under:**

13 **Tranche**

14 **USD 546,916,000 Step-up Convertible Bonds due 2019**

15 **USD 175,000,000 5% Convertible Bonds Due 2016**

16 iii) Whether the Company has any shares against which depository receipts are issued? **Yes**

17 iv) Whether the Company has any shares in lock-in? **Yes**

18 v) Whether any shares held by promoters are pledged or otherwise encumbered? **Yes**

TABLE I - SUMMARY STATEMENT HOLDING OF SPECIFIED SECURITIES

Category code	Category of shareholder	No. of share-holders	No. of fully paid up equity shares held	No. of partly paid up equity shares held	No. of shares underlying DRs	Total no. of equity shares held (VII) = (IV)+(V)+(VI)	Share-holding as a % of total no. of shares [as a % of A+B+C2]	No. of Voting Rights held in each class of securities		No. of shares under-lying o/s conv. Sec. (incl. warrant)	Share-holding as a % assuming full conv. of conv. sec. (as a % of diluted cap.i.e. A+B+C2)	No. of locked in shares		No. of shares pledged or otherwise encumbered		No. of equity shares held in demat form
								Class X - Equity	Class Y Voting rights i.e. (as a % of A+B+C)			(X)	(XI) = (VII)+(X)	(a)	(b)	
(A)	Promoter and Promoter Group	28	1052784456	0	0	1052784456	21.15	1052784456	0	0	21.15	133930731	12.72	1003576625	95.33	1052784456
(B)	Public	945147	3926057657	0	0	3926057657	78.85	3926057657	0	0	78.85	1000000000	25.47	NA	NA	3926002023
(C)	Non Promoter Non Public	1	10691784	0	0	10691784	NA	10691784	0	0	0	0	0	NA	NA	10691784
(C1)	Shares underlying Depository Receipts (DRs)	0	0	0	0	0	0.00	0	0	0	0	0	0	NA	NA	0
(C2)	Shares held by Employee Trusts	0	0	0	0	0	0.00	0	0	0	0	0	0	NA	NA	0
	Total (A+B+C)	945176	4989533897	0	0	4989533897	100.00	4989533897	0	0	100.00	1133930731	38.19	1003576625	95.33	4989478263

For Suzlon Energy Limited



H.A.Kanungo
Company Secretary



TABLE II - STATEMENT SHOWING SHAREHOLDING PATTERN OF THE PROMOTER AND PROMOTER GROUP

Category code	Category of shareholder	PAN	No. of share-holders	No. of fully paid up equity shares held	No. of equity shares held up equity shares held	No. of shares underlying DRs	Total no. of equity shares held	Share-holding as a % of total no. of shares [as a % of A+B+C2]	No. of Voting Rights held in each class of securities			No. of shares underlying-lying Sec. (incl. warrant)	Share-holding as a % assuming full conv. of conv. sec. (as a % of diluted cap.i.e. A+B+C2)	No. of locked in shares		No. of shares pledged or otherwise encumbered		No. of equity shares held in demat form	
									Class X - Equity	Class Y	Total as a % of Total Voting rights i.e. [as a % of A+B+C]			No. (a)	As a % of total shares held (b)	No. (a)	As a % of total shares held (b)		
	(i)	(ii)	(iii)	(iv)	(v)	(vi)	(vii = IV+V+VI)	(viii)	(ix)	(x)	(xi = VII+X)	(xii)	(xiii)	(xiv)					
(1)	Indian																		
(a)	Individuals / HUFs																		
1	Tulsi R. Tanti	AARPT6363J	1	3905000	0	0	3905000	0.08	0	0.08	0	0.08	0	0	0	3904253	99.98	3905000	
2	Gita T. Tanti	AASPT6380Q	1	64512000	0	0	64512000	1.30	0	1.29	0	1.30	0	0	0	64512000	100.00	64512000	
3	Tulsi R. Tanti as karta of Tulsi Ranchhodhbhai HUF	AAAH4207Q	1	18000000	0	0	18000000	0.36	0	0.36	0	0.36	0	0	0	18000000	100.00	18000000	
4	Tulsi R. Tanti as karta of Ranchhodhbhai Ramjibhai HUF	AAAH4206R	1	42570000	0	0	42570000	0.86	0	0.85	0	0.86	0	0	0	22400000	52.62	42570000	
5	Tulsi R. Tanti //w. Vinod R. Tanti //w.	AARPT6363J	1	42660000	0	0	42660000	0.86	0	0.85	0	0.86	0	0	0	42660000	100.00	42660000	
6	Jitendra R. Tanti	AARPT6367N	1	11367000	0	0	11367000	0.23	0	0.23	0	0.23	0	0	0	11367000	100.00	11367000	
7	Jitendra R. Tanti	AARPT6364R	1	12400000	0	0	12400000	0.25	0	0.25	0	0.25	0	0	0	12400000	100.00	12400000	
8	Sangita V. Tanti	AARPT6365Q	1	70182000	0	0	70182000	1.41	0	1.41	0	1.41	0	0	0	70182000	100.00	70182000	
9	Lina J. Tanti	AARPT6366P	1	70182000	0	0	70182000	1.41	0	1.41	0	1.41	0	0	0	70182000	100.00	70182000	
10	Rambhoben Ukabhai	AASPT6381R	1	3000	0	0	3000	0.00	0	0.00	0	0.00	0	0	0	3000	100.00	3000	
11	Vinod R. Tanti as karta of Vinod Ranchhodhbhai HUF	AAAH4200K	2	18900000	0	0	18900000	0.38	0	0.38	0	0.38	0	0	0	5000000	26.46	18900000	
12	Jitendra R. Tanti as karta of Jitendra Ranchhodhbhai HUF	AAAH4199P	1	12723000	0	0	12723000	0.25	0	0.25	0	0.26	0	0	0	12723000	100.00	12723000	
13	Pranav T. Tanti	ADIPT9753B	2	59067000	0	0	59067000	1.19	0	1.18	0	1.19	0	0	0	59067000	100.00	59067000	
14	Nidhi T. Tanti	ADIPT9754G	1	3052000	0	0	3052000	0.06	0	0.06	0	0.06	0	0	0	3000000	98.30	3052000	
15	Rajan V. Tanti	AFIPT0671F	1	16605000	0	0	16605000	0.33	0	0.33	0	0.33	0	0	0	16600000	99.97	16605000	
16	Brij J. Tanti	AFIPT4629M	1	37117000	0	0	37117000	0.75	0	0.74	0	0.75	0	0	0	37100000	99.95	37117000	
17	Trisha J. Tanti	AFIPT4641R	1	15120000	0	0	15120000	0.30	0	0.30	0	0.30	0	0	0	15100000	99.87	15120000	
18	Garish R. Tanti	ABFPT3310E	2	100019000	0	0	100019000	2.01	0	2.00	0	2.01	0	0	0	100018711	100.00	100019000	
(b)	Central Govt. / State Govt.		0	0	0	0	0	0.00	0	0.00	0	0.00	0	0	0	0	0.00	0	
(c)	Financial Institutions / Banks		0	0	0	0	0	0.00	0	0.00	0	0.00	0	0	0	0	0.00	0	
(d)	Any other (specify)		0	0	0	0	0	0.00	0	0.00	0	0.00	0	0	0	0	0.00	0	
(i)	Bodies Corporate																		
1	Tanti Holdings Pvt. Ltd.	AACCS1420K	4	158901093	0	0	158901093	3.19	0	3.18	0	3.19	0	0	0	158400000	99.68	158901093	
2	Sugati Holdings Pvt. Ltd.	AAJCS009H	1	262497868	0	0	262497868	5.27	0	5.26	0	5.27	0	0	0	247960661	94.46	262497868	
3	Samanvaya Holdings Pvt. Ltd.	AAJCS3004L	2	33001495	0	0	33001495	0.66	0	0.66	0	0.66	0	0	0	33000000	100.00	33001495	
	Sub-Total (A1)		28	1052784456	0	0	1052784456	21.15	0	21.10	0	21.15	0	0	0	1003576625	95.33	1052784456	
2	Foreign																		
(a)	Individuals/NRIs/Foreign Individuals		0	0	0	0	0	0.00	0	0.00	0	0.00	0	0	0	0	0.00	0	
(b)	Government		0	0	0	0	0	0.00	0	0.00	0	0.00	0	0	0	0	0.00	0	
(c)	Institutions		0	0	0	0	0	0.00	0	0.00	0	0.00	0	0	0	0	0.00	0	
(d)	Foreign Portfolio Investor		0	0	0	0	0	0.00	0	0.00	0	0.00	0	0	0	0	0.00	0	
(e)	Any other (specify)		0	0	0	0	0	0.00	0	0.00	0	0.00	0	0	0	0	0.00	0	
	Sub-Total (A2)		0	0	0	0	0	0.00	0	0.00	0	0.00	0	0	0	0	0.00	0	
	Total Promoters [A = A1 + A2]		28	1052784456	0	0	1052784456	21.15	0	21.10	0	21.15	0	0	0	1003576625	95.33	1052784456	

TABLE III - STATEMENT SHOWING SHAREHOLDING PATTERN OF THE PUBLIC SHAREHOLDERS

Category Code	PAN	Category of shareholder	(i)	(ii)	(iii)	(iv)	(v)	(vi) = (v) + (vi)	(viii)	(ix)		(x)	(xi) = (vii) + (x)	(xii)		(xiii)	(xiv)	
										No. of share-holders	No. of fully paid up equity shares held			No. of partly paid up equity shares held	No. of shares underlying DRs			Total no. of equity shares held
			(iii)	(iv)	(v)	(vi)	(vii)	(viii)	(ix)	(x)	(xi)	(xii)	(xiii)	(xiv)				
(1)		Institutions																
(a)		Mutual Funds	40	69006245	0	0	69006245	1.39	69006245	0	1.38	0	1.39	0	0.00	NA	NA	69006245
(b)		Venture Capital Funds	0	0	0	0	0	0.00	0	0	0.00	0	0.00	0	0.00	NA	NA	0
(c)		Alternate Investment Funds	0	0	0	0	0	0.00	0	0	0.00	0	0.00	0	0.00	NA	NA	0
(d)		Foreign Venture Capital Investor	0	0	0	0	0	0.00	0	0	0.00	0	0.00	0	0.00	NA	NA	0
(e)		Foreign Portfolio Investors	137	823360044	0	0	823360044	16.54	823360044	0	16.50	0	16.54	0	0.00	NA	NA	823360044
		COWELL & LEE INVESTMENT (MAURITIUS) LIMITED	1	132986172	0	0	132986172	2.67	132986172	0	2.67	0	2.67	0	0.00	NA	NA	132986172
		MORGAN STANLEY ASIA (SINGAPORE) PTE.	1	92154869	0	0	92154869	1.85	92154869	0	1.85	0	1.85	0	0.00	NA	NA	92154869
		CLEARWATER CAPITAL PARTNERS SINGAPORE FUND IV PRIV	1	55223065	0	0	55223065	1.11	55223065	0	1.11	0	1.11	0	0.00	NA	NA	55223065
(f)		Financial institutions / Banks	32	446263390	0	0	446263390	8.96	446263390	0	8.94	0	8.96	0	0.00	NA	NA	446263390
		IDBI BANK LTD.	1	125909621	0	0	125909621	2.53	125909621	0	2.52	0	2.53	0	0.00	NA	NA	125909621
		STATE BANK OF INDIA	1	58391669	0	0	58391669	1.17	58391669	0	1.17	0	1.17	0	0.00	NA	NA	58391669
(g)		Insurance Companies	2	90232017	0	0	90232017	1.81	90232017	0	1.81	0	1.81	0	0.00	NA	NA	90232017
		LIFE INSURANCE CORPORATION OF INDIA	1	89932017	0	0	89932017	1.81	89932017	0	1.80	0	1.81	0	0.00	NA	NA	89932017
(h)		Any Other (specify)	0	0	0	0	0	0.00	0	0	0.00	0	0.00	0	0.00	NA	NA	0
		Sub-Total (B1)	211	1428861696	0	0	1428861696	28.70	1428861696	0	28.64	0	28.70	0	0.00	NA	NA	1428861696
(2)		Central Govt. / State Govt. (B2)	0	0	0	0	0	0.00	0	0	0.00	0	0.00	0	0.00	NA	NA	0
(3)		Non-institutions																
(a)		Individuals																
(i)		Individuals and HUFs holding nominal capital up to Rs.2 Lacs	930810	864185028	0	0	864185028	17.36	864185028	0	17.32	0	17.36	0	0.00	NA	NA	864130394
(ii)		Individuals and HUFs holding nominal capital in excess of Rs.2 Lacs	755	245792596	0	0	245792596	4.94	245792596	0	4.93	0	4.94	0	0.00	NA	NA	245792596
(b)		NBFCs registered with RBI	22	2691804	0	0	2691804	0.05	2691804	0	0.05	0	0.05	0	0.00	NA	NA	2691804
(c)		Employee Trusts	0	0	0	0	0	0.00	0	0	0.00	0	0.00	0	0.00	NA	NA	0
(d)		Overseas Depositories (holding DRs) (bal figure)	0	0	0	0	0	0.00	0	0	0.00	0	0.00	0	0.00	NA	NA	0
(e)		Any Other (specify)																
		Bodies Corporate	4177	1312623709	0	0	1312623709	26.36	1312623709	0	26.31	0	26.36	1000000000	76.18	NA	NA	1312622709
		SEL-SSA-ESCROW ACCOUNT OPERATED BY-ACMILL*	1	1000000000	0	0	1000000000	20.08	1000000000	0	20.04	0	20.08	1000000000	100.00	NA	NA	1000000000
		Clearing Members	385	11342534	0	0	11342534	0.23	11342534	0	0.23	0	0.23	0	0.00	NA	NA	11342534
		Trusts	30	3313585	0	0	3313585	0.07	3313585	0	0.07	0	0.07	0	0.00	NA	NA	3313585
		Non Resident Indians	8747	49759229	0	0	49759229	1.00	49759229	0	1.00	0	1.00	0	0.00	NA	NA	49759229
		Foreign Corporate Bodies	1	7415076	0	0	7415076	0.15	7415076	0	0.15	0	0.15	0	0.00	NA	NA	7415076
		Foreign Nationals	9	72400	0	0	72400	0.00	72400	0	0.00	0	0.00	0	0.00	NA	NA	72400
		Sub-Total(e)	13349	1384526533	0	0	1384526533	27.81	1384526533	0	27.75	0	27.81	1000000000	76.18	NA	NA	1384525533
		Sub-Total (B3)	944936	2497195961	0	0	2497195961	50.16	2497195961	0	50.05	0	50.16	1000000000	76.18	NA	NA	2497140327
		Total Public (B = B1+B2+B3)	945147	3926057657	0	0	3926057657	78.85	3926057657	0	78.69	0	78.85	1000000000	76.18	NA	NA	3926020223

Note: Names of all holders holding more than 1% of total number of shares are required to be given



Name of the shareholders who are persons acting in concert, if available shall be disclosed separately:

- 1 The Promoter Group as defined under Regulation 2(1)(t) of Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers Regulations, 2011, (SAST Regulations 2011)) is as under:
Tulsi R.Tanti, Gita T.Tanti, Tulsi R.Tanti as karta of Ranchhodhbhai HUF, Tulsi R.Tanti as karta of Ranchhodhbhai Ramjibhai HUF, Tulsi R.Tanti J/w. Vinod R.Tanti J/w. Jitendra R.Tanti, Tanti Holdings Private Limited, Vinod R.Tanti, Jitendra R.Tanti, Sangita V.Tanti, Lina J.Tanti, Rambhoben Ukabhai, Vinod R.Tanti as karta of Vinod Ranchhodhbhai HUF, Jitendra R.Tanti as karta of Jitendra Ranchhodhbhai HUF, Pranav T.Tanti, Nidhi T.Tanti, Rajan V.Tanti, Brij J.Tanti, Trisha J.Tanti, Girish R.Tanti, Sugati Holdings Private Limited and Samanvaya Holdings Private Limited.
- 2 *The Persons Acting in Concert (PACs), as defined in the SAST Regulations 2011 for the purpose of Regulation 10 of SAST Regulations, 2011 are as under:
Family Investment Pvt. Ltd., Quality Investment Pvt. Ltd., Viditi Investment Pvt. Ltd., Virtuous Finance Pvt. Ltd., Virtuous Share Investments Pvt. Ltd., Tejaskiran Pharmachem Industries Pvt Ltd, Sunrise Associates, Goldenstar Enterprises, Pioneer Resources, Expert Vision, Aalok D. Shanghvi, Vibha Shanghvi, Vidhi D Shanghvi, Neostar Developers LLP, Real Gold Developers LLP, Suraksha Buildwell LLP, Sudhir V. Valla, Raksha S. Valla, Vijay M. Parekh and Paresh M. Parekh. 100 Crores equity shares held by the PACs allotted pursuant to preferential offer made to them were kept in escrow securities account opened with escrow securities agent in terms of Regulation 22(2A) of the SAST Regulations 2011.
- 3 PACs are acting in concert with the Promoter Group pursuant to Shareholders Agreement entered into between the Promoter Group, PACs and the Company.





TABLE IV - STATEMENT SHOWING SHAREHOLDING PATTERN OF THE NON-PROMOTER - NON-PUBLIC SHAREHOLDER

Category code	Category of shareholder	PAN	No. of share-holders	No. of fully paid up equity shares held	No. of partly paid up equity shares held	No. of shares underlying DRs	Total no. of equity shares held	Share-holding as a % of total no. of shares (as a % of A+B+C2)	No. of Voting Rights held in each class of securities			No. of shares under-lying o/s conv. Sec. (incl. warrant)	Share holding as a % assuming full conv. of conv. sec (as a % of diluted cap. i.e. A+B+C2)	No. of locked in shares		No. of equity shares held in demat form
									Class X - Equity	Class Y	Total as a % of Total rights i.e. (as a % of A+B+C)			No. (a)	As a % of total shares held (b)	
(1)	Custodian / DR Holder	(II)	(III)	(IVA)	(V)	(VI)	(VII) = (IVA)+(V)	(VIII)	(IX)	(X)	(XI) = (VII)+(X)	(XII)	(XIII)	(XIV)	(XV)	(XVI)
(2)	Employee Benefit Trust under SEBI (Share based Employee Benefit) Regulations, 2014	-	0	10691784	0	0	10691784	0.21	0	0	0	0	0	0	0	0
	Total Non Promoter - Non Public Shareholding (C = C1 +C2)		1	10691784	0	0	10691784	0.21	0	0	0	0	0	0	0	0

Note: Names of all holders holding more than 1% of total number of shares are required to be given