

SHAREHOLDING PATTERN UNDER REGULATION 31 OF SECURITIES AND EXCHANGE BOARD OF INDIA (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015

- 1 Name of Listed Entity : **SUZLON ENERGY LIMITED [CIN: L40100GJ1995PLC025447]**
- 2 Scrip Code : **532667 (BSE)**
- 3 Name of Scrip : **SUZLON (NSE)**
- 4 Class of Security: **Equity Shares of Rs.2 each**
- 5 Shareholding Pattern filed under Reg. 31(1)(a) / 31(1)(b) / 31(1)(c) : **31(1)(b)**
- 6 a. if under 31(1)(b) then indicate the report for quarter ending: **September 30, 2018**
- 7 b. if under 31(1)(c) then indicate the date of allotment / extinguishment: **Not Applicable**
- 8 Declarations: : **Yes / No**
- 9 S.N. Particulars : **Yes / No**
- 10 i) Whether the Company has issued any partly paid up shares? **No**
- 11 ii) Whether the Company has issued any Convertible Securities or Warrants? **Yes**
- 12 **The outstanding balances of FCCBs issued by the Company are as under:**
- 13 **Tranche**
- 14 **USD 546,916,000 Step-up Convertible Bonds due 2019**
- 15 **Outstanding Amount (USD)**
- 16 **172,002,000**
- 17 iii) Whether the Company has any shares against which depository receipts are issued? **Yes**
- 18 iv) Whether the Company has any shares in lock-in? **No**
- 19 v) Whether any shares held by promoters are pledged or otherwise encumbered? **Yes**

TABLE I - SUMMARY STATEMENT HOLDING OF SPECIFIED SECURITIES

Category code	Category of shareholder	No. of share-holders	No. of fully paid up equity shares held	No. of partly paid up equity shares held	No. of shares underlying DRs	Total no. of equity shares held	Share-holding as a % of total no. of shares (as a % of A+B+C2)	No. of Voting Rights held in each class of securities		No. of shares underlying of conv. Sec. (incl. warrants)	Share-holding as a % assuming full conv. of conv. sec. (as a % of diluted cap. i.e. A+B+C2)	No. of locked in shares		No. of shares pledged or otherwise encumbered	No. of equity shares held in demat form	
								Class X - Equity	Class Y - Voting rights i.e. (as a % of A+B+C)			No. (a)	As a % of total shares held (b)			No. (a)
(i)	(ii)	(iii)	(iv)	(v)	(vi)	(vii) = (iv)+(v)+(vi)	(viii)	(ix)	(x)	(xi) = (viii)+(x)	(xii)	(xiii)	(xiv)			
(A)	Promoter and Promoter Group	20	1052784456	0	0	1052784456	19.82	1052784456	0	0	17.60	0	0.00	1046310914	99.39	1052784456
(B)	Public	1017946	4258708825	0	0	4258708825	80.18	4258708825	0	670040150	82.40	0	0.00	NA	NA	4258527083
(C)	Non Promoter Non Public															
(C1)	Shares underlying Depository Receipts (DRs)	1	0	0	8280840	8280840	NA	8280840	0	0	0	0	0	NA	NA	8280840
(C2)	Shares held by Employee Trusts	0	0	0	0	0	0.00	0	0	0	0	0	0	NA	NA	0
	Total [A+B+C]	1017967	5311493281	0	8280840	5319774121	100.00	5319774121	0	670040150	100.00	0	0.00	1046310914	19.67	5319592379

For Suzlon Energy Limited



H-AKANGA

Company Secretary



TABLE II - STATEMENT SHOWING SHAREHOLDING PATTERN OF THE PROMOTER AND PROMOTER GROUP

Category code	Category of shareholder	PAN	No. of share-holders	No. of fully paid up equity shares held	No. of partly paid up equity shares held	No. of shares underlying DRs	Total no. of equity shares held	Share-holding as a % of total no. of shares as a % of A+B+C2	No. of Voting Rights held in each class of securities		No. of shares underlying Sec. (incl. warrant)	Share-holding as a % assuming full conv. of a % of diluted capt. i.e. A+B+C	No. of locked in shares		No. of shares pledged or otherwise encumbered		No. of equity shares held in demat form	
									Class X - Equity	Class Y			No. (a)	As a % of total shares held (b)	No. (a)	As a % of total shares held (b)		
(1)	(2)	(3)	(4)	(5)	(6)	(7)	(VIII) = (V)+(VI)	(VII)	(IX)	(X)	(XI)	(XII)	(XIII)	(XIV)	(XV)	(XVI)	(XVII)	
(a)	Indian Individuals / HUFs																	
1	Tulsi R. Tanti	AARPT63631	1	39050000	0	0	39050000	0.07	39050000	0	0.07	0	0	0	0	3904253	99.98	39050000
2	Gita T. Tanti	AASPT6380Q	1	64512000	0	0	64512000	1.21	64512000	0	1.21	0	0	0	0	64512000	100.00	64512000
3	Tulsi R. Tanti as karta of Tulsi Ranchhodbhai HUF	AAAHT4207Q	1	18000000	0	0	18000000	0.34	18000000	0	0.34	0	0	0	0	18000000	100.00	18000000
4	Tulsi R. Tanti as karta of Ranchhodbhai Ramjibhai HUF	AAAHT4206R	1	42570000	0	0	42570000	0.80	42570000	0	0.80	0	0	0	0	36697082	86.20	42570000
5	Tulsi R. Tanti /w. Vinod R. Tanti /w. AAATR1895E		1	42660000	0	0	42660000	0.80	42660000	0	0.80	0	0	0	0	42660000	100.00	42660000
6	Jitendra R. Tanti	AARPT6367N	1	11367000	0	0	11367000	0.21	11367000	0	0.21	0	0	0	0	11367000	100.00	11367000
7	Jitendra R. Tanti	AARPT6364R	1	12400000	0	0	12400000	0.23	12400000	0	0.23	0	0	0	0	12400000	100.00	12400000
8	Sangita V. Tanti	AARPT6365Q	1	70182000	0	0	70182000	1.32	70182000	0	1.32	0	0	0	0	70182000	100.00	70182000
9	Uma J. Tanti	AARPT6366P	1	70182000	0	0	70182000	1.32	70182000	0	1.32	0	0	0	0	70182000	100.00	70182000
10	Rambhaden Ukabhai	AASPT6381R	1	3000	0	0	3000	0.00	3000	0	0.00	0	0	0	0	3000	100.00	3000
11	Vinod R. Tanti as karta of Vinod Ranchhodbhai HUF	AAAHT4200K	1	18900000	0	0	18900000	0.36	18900000	0	0.36	0	0	0	0	18900000	100.00	18900000
12	Jitendra R. Tanti as karta of Jitendra Ranchhodbhai HUF	AAAHT4199P	1	12723000	0	0	12723000	0.24	12723000	0	0.24	0	0	0	0	12723000	100.00	12723000
13	Pranav T. Tanti	ADJPT9753B	1	59067000	0	0	59067000	1.11	59067000	0	1.11	0	0	0	0	59067000	100.00	59067000
14	Nidhi T. Tanti	ADJPT9754G	1	3052000	0	0	3052000	0.06	3052000	0	0.06	0	0	0	0	3052000	98.30	3052000
15	Rajan V. Tanti	AFIPT0671F	1	16605000	0	0	16605000	0.31	16605000	0	0.31	0	0	0	0	16605000	99.97	16605000
16	Brij J. Tanti	AFIPT4629M	1	37117000	0	0	37117000	0.70	37117000	0	0.70	0	0	0	0	37117000	99.95	37117000
17	Trisha J. Tanti	AFIPT4641R	1	15120000	0	0	15120000	0.28	15120000	0	0.28	0	0	0	0	15120000	99.87	15120000
18	Gurish R. Tanti	ABFPT3310E	1	100019000	0	0	100019000	1.88	100019000	0	1.88	0	0	0	0	100018711	100.00	100019000
(b)	Central Govt. / State Govt.		0	0	0	0	0	0.00	0	0	0.00	0	0	0	0	0	0.00	0
(c)	Financial Institutions / Banks		0	0	0	0	0	0.00	0	0	0.00	0	0	0	0	0	0.00	0
(d)	Any other (specify)		0	0	0	0	0	0.00	0	0	0.00	0	0	0	0	0	0.00	0
(j)	Bodies Corporate																	
1	Tanti Holdings Pvt. Ltd.	AACCS1420K	1	158901093	0	0	158901093	2.99	158901093	0	2.99	0	0	0	0	158400000	99.68	158901093
2	Samanvaya Holdings Pvt. Ltd. *	AAACS3004L	1	295499363	0	0	295499363	5.56	295499363	0	5.55	0	0	0	0	295497868	100.00	295499363
	Sub-Total (A1)		20	1052784456	0	0	1052784456	19.82	1052784456	0	19.79	0	0	0	0	1046310914	99.39	1052784456
2	Foreign																	
(a)	Individuals/NRIs/Foreign Individuals		0	0	0	0	0	0.00	0	0	0.00	0	0	0	0	0	0.00	0
(b)	Government		0	0	0	0	0	0.00	0	0	0.00	0	0	0	0	0	0.00	0
(c)	Institutions		0	0	0	0	0	0.00	0	0	0.00	0	0	0	0	0	0.00	0
(d)	Foreign Portfolio Investor		0	0	0	0	0	0.00	0	0	0.00	0	0	0	0	0	0.00	0
(e)	Any other (specify)		0	0	0	0	0	0.00	0	0	0.00	0	0	0	0	0	0.00	0
	Sub-Total (A2)		0	0	0	0	0	0.00	0	0	0.00	0	0	0	0	0	0.00	0
	Total Promoters [A = A1 + A2]		20	1052784456	0	0	1052784456	19.82	1052784456	0	19.79	0	0	0	0	1046310914	99.39	1052784456

Note: * Sugati Holdings Private Limited, an entry forming part of the Promoter Group of the Company holding 26,24,97,868 equity shares aggregating to 4.93% of the paid-up capital of the Company has been amalgamated with Samanvaya Holdings Private Limited, another entry forming part of the Promoter Group of the Company, with effect from 4th September 2018 (being effective date of amalgamation) by virtue of the Order passed by the Honourable National Company Law Tribunal, Mumbai Bench.



TABLE III - STATEMENT SHOWING SHAREHOLDING PATTERN OF THE PUBLIC SHAREHOLDERS

Cater. gory. code	Category of shareholder	PAN	No. of share-holders	(i)	(ii)	(iii)	(iv)	(v)	(vi)	(vii)	(viii)	(ix)		(x)	(xi = vii * x)	(xii)		(xiii)	(xiv)	
												No. of fully paid up equity shares held	No. of partly paid up equity shares held			No. of shares underlying DRs	Total no. of equity shares held			Share-holding as a % of total no. of shares (as A+B+C2)
(1)	Institutions																			
(a)	Mutual Funds		13	236228097	0	0	236228097	0	0	4.45	236228097	0	4.45	0	2.55	0	0.00	NA	NA	236228097
	KOTAK EQUITY ARBITRAGE FUND	AAATK4475F	1	58410000	0	0	58410000	0	0	1.10	58410000	0	1.10	0	0.98	0	0.00	NA	NA	58410000
(b)	Venture Capital Funds		0	0	0	0	0	0	0	0.00	0	0	0.00	0	0.00	0	0.00	NA	NA	0
(c)	Alternate Investment Funds		0	0	0	0	0	0	0	0.00	0	0	0.00	0	0.00	0	0.00	NA	NA	0
(d)	Foreign Venture Capital Investor		0	0	0	0	0	0	0	0.00	0	0	0.00	0	0.00	0	0.00	NA	NA	0
(e)	Foreign Portfolio Investors		75	378361542	0	0	378361542	0	0	7.12	378361542	0	7.11	670040150	17.53	0	0.00	NA	NA	378361542
(f)	FOREIGN & IEE INVESTMENT (MAURITIUS) LIMITED	AAECC9969K	1	111506181	0	0	111506181	0	0	2.10	111506181	0	2.10	0	1.86	0	0.00	NA	NA	111506181
(g)	Financial Institutions / Banks		22	191805214	0	0	191805214	0	0	3.61	191805214	0	3.61	0	3.21	0	0.00	NA	NA	191805214
(h)	Insurance Companies		1	89932017	0	0	89932017	0	0	1.69	89932017	0	1.69	0	1.50	0	0.00	NA	NA	89932017
(i)	LIFE INSURANCE CORPORATION OF INDIA	AAACLOS52H	1	89932017	0	0	89932017	0	0	1.65	89932017	0	1.69	0	1.50	0	0.00	NA	NA	89932017
(j)	Any Other (Specify)		111	896326870	0	0	896326870	0	0	16.88	896326870	0	16.85	670040150	26.19	0	0.00	NA	NA	896326870
(k)	Central Govt. / State Govt. (B2)		0	0	0	0	0	0	0	0.00	0	0	0.00	0	0.00	0	0.00	NA	NA	0
(l)	Non-institutions																			
(m)	Individuals		1003051	1469055306	0	0	1469055306	0	0	27.66	1469055306	0	27.61	0	24.56	0	0.00	NA	NA	1468873564
(n)	Individuals and HUFs holding nominal capital up to Rs.2 Lacs																			
(o)	Individuals and HUFs holding nominal capital in excess of Rs.2 Lacs		1401	666887319	0	0	666887319	0	0	12.56	666887319	0	12.54	0	11.15	0	0.00	NA	NA	666887319
(p)	Vidha D.Shanghi	ANIP52068P	1	68000000	0	0	68000000	0	0	1.28	68000000	0	1.28	0	1.24	0	0.00	NA	NA	68000000
(q)	Aalok Dilip Shanghi	AAJPS4633P	1	68000000	0	0	68000000	0	0	1.28	68000000	0	1.28	0	1.24	0	0.00	NA	NA	68000000
(r)	Vidhi D.Shanghi	BCMPS0940L	1	68000000	0	0	68000000	0	0	1.28	68000000	0	1.28	0	1.24	0	0.00	NA	NA	68000000
(s)	NBFCs registered with RBI		24	2417654	0	0	2417654	0	0	0.05	2417654	0	0.05	0	0.04	0	0.00	NA	NA	2417654
(t)	Employee Trusts		0	0	0	0	0	0	0	0.00	0	0	0.00	0	0.00	0	0.00	NA	NA	0
(u)	Overseas Depositories (holding DRs) (bal figure)		0	0	0	0	0	0	0	0.00	0	0	0.00	0	0.00	0	0.00	NA	NA	0
(v)	Any Other (Specify)																			
(w)	Bodies Corporate		2819	1076014774	0	0	1076014774	0	0	20.26	1076014774	0	20.23	0	17.99	0	0.00	NA	NA	1076014774
(x)	Cannon Realty Pvt. Ltd. /w. Sun Fastfin Services Pvt. Ltd. in the capacity of partners of M/s. GEE SIX Enterprises	AAAFN7106C	1	138300000	0	0	138300000	0	0	2.60	138300000	0	2.60	0	2.31	0	0.00	NA	NA	138300000
(y)	Ariya Medisales Ltd. /w. Unimed Investments Ltd. /w. Ms. Vidhi Shanghi in the capacity of partners of M/s. Expert Vision	AAQFG4147A	1	55000000	0	0	55000000	0	0	1.04	55000000	0	1.03	0	0.92	0	0.00	NA	NA	55000000
(z)	Neostar Developers LLP	AAFFD868H	1	85000000	0	0	85000000	0	0	1.60	85000000	0	1.60	0	1.42	0	0.00	NA	NA	85000000
(aa)	Real Gold Developers LLP	AAAMFZ044P	1	85000000	0	0	85000000	0	0	1.60	85000000	0	1.60	0	1.42	0	0.00	NA	NA	85000000
(ab)	Toaskiran Pharmachem Industries Pvt. Ltd. /w. Virtuous Finance Pvt. Ltd. /w. Aalok D. Shanghi in the capacity of partners of M/s. Goldenstar Enterprises	AAAFG0104D	1	100900000	0	0	100900000	0	0	1.90	100900000	0	1.90	0	1.69	0	0.00	NA	NA	100900000

Category	Category of shareholder	PAN	No. of shareholders	No. of fully paid up equity shares held	No. of partly paid up equity shares held	No. of shares underlying DRs	Total no. of equity shares held	Shareholding as a % of total no. of shares (as a % of A+B+C2)	No. of Voting Rights held in each class of securities		No. of shares underlying o/s conv. Sec. (incl. warrant)	Shareholding as a % assuming full conv. of dil. cap. i.e. A+B+C2	No. of locked in shares		No. of shares pledged or otherwise		No. of equity shares held in demat form
									Class X - Equity	Class Y			No. (a)	As a % of total shares held (b)	No. (a)	As a % of total shares held (b)	
	(i)	(ii)	(iii)	(iv)	(v)	(vi)	(vii) = IV+V+VI	(viii)	(ix)	(x)	(xi) = VII+X	(xii)	(xiii)	(xiv)	(xv)	(xvi)	(xvii)
	Viditi Investment Pvt. Ltd. /Jw. Viruous Share Investments Pvt. Ltd. /Jw. Vibha Shanghai in the capacity of partners of M/s. Pioneer Resources			100900000			100900000	1.90	0	0	0	1.65	0	0.00	NA	NA	100900000
	Suraksha Buildwell LLP		1	110000000			110000000	2.07	0	0	0	1.84	0	0.00	NA	NA	110000000
	Family Investment Pvt. Ltd. /Jw. Quality Investment Pvt. Ltd. /Jw. Kumud S. Shanghvi in the capacity of partners of M/s. Sunrise Associates			100900000			100900000	1.90	0	0	0	1.69	0	0.00	NA	NA	100900000
	Clearing Members		217	18936314	0	0	18936314	0.36	0	0	0	0.32	0	0.00	NA	NA	18936314
	Trusts		29	1580235	0	0	1580235	0.03	0	0	0	0.03	0	0.00	NA	NA	1580235
	Non Resident Indians		6944	73324648	0	0	73324648	1.38	0	0	0	1.23	0	0.00	NA	NA	73324648
	Non Resident Indians Non Repatriable		3344	19774021	0	0	19774021	0.37	0	0	0	0.33	0	0.00	NA	NA	19774021
	Foreign Corporate Bodies		2	34227684	0	0	34227684	0.64	0	0	0	0.57	0	0.00	NA	NA	34227684
	Foreign Nationals		4	64000	0	0	64000	0.00	0	0	0	0.00	0	0.00	NA	NA	64000
	Sub-Total (e)		13359	1223921676	0	0	1223921676	23.04	0	0	0	20.46	0	0.00	NA	NA	1223921676
	Sub-Total (f)		1017885	3362381955	0	0	3362381955	63.30	0	0	0	56.21	0	0.00	NA	NA	3362381955
	Total Public (g = B1+B2+B3)		1017946	4258708825	0	0	4258708825	80.18	0	0	0	82.40	0	0.00	NA	NA	4258708825

Note: Names of all holders holding more than 1% of total number of shares are required to be given





Cate. Gory code	Category of shareholder	PAN	(i)	(ii)	(iii)	(iv)	No. of fully paid up equity shares held	No. of partly paid up equity shares held	No. of shares underlying g.DRs	Total no. of equity shares held	Share-holding as a % of total no. of shares (as a % of A+B+C2)	(ix)		No. of shares underlying conv. Sec. (incl. warrant)	Share-holding as a % assuming full conv. of conv. sec (as a % of diluted cap.i.e. A+B+C2)	(xii)		No. of equity shares held in demat form	
												Class X - Equity	Class Y			As a % of total shares held (b)	As a % of total shares held (b)		
							Total as a % of A+B+C												
Details of shareholders acting as persons in concert including their shareholding (No. and %)																			
Sr. No.	Name of shareholders acting as persons acting in concert		No. of fully paid up equity shares held		Total as a % of A+B+C														
1	Family Investment Pvt. Ltd. /w. Quality Investment Pvt. Ltd. /w. Komud S. Shanghi in the capacity of partners of M/s. Sunrise Associates		100900000		1.90														
2	Tejaskiran Pharmachem Industries Pvt. Ltd. /w. Virtuous Finance Pvt. Ltd. /w. Aalok D. Shanghi in the capacity of partners of M/s. Goldenstar Enterprises		100900000		1.90														
3	Vidhi Investment Pvt. Ltd. /w. Virtuous Share Investments Pvt. Ltd. /w. Vibha Shanghi in the capacity of partners of M/s. Pioneer Resources		100900000		1.90														
4	Acitya Medisales Ltd. /w. Unimed Investments Ltd. /w. Ms. Vidhi Shanghi in the capacity of partners of M/s. Expert Vision		55000000		1.03														
5	Aalok D. Shanghi		68000000		1.28														
6	Vibha Shanghi		68000000		1.28														
7	Vidhi D. Shanghi		68000000		1.28														
8	NeoStar Developers LLP		85000000		1.60														
9	Real Gold Developers LLP		85000000		1.60														
10	Suraksha Buildwell LLP		110000000		2.07														
11	Sudhir V. Valia		29295		0.00														
12	Rishika S. Valia		29200		0.00														
13	Vijay M. Parekh		5000000		0.09														
14	Parash M. Parekh		5000000		0.09														
15	Canon Realty Pvt. Ltd. /w. Sun Fastin Services Pvt. Ltd. in the capacity of partners of M/s. GEE SIX Enterprises		138300000		2.60														
	Total		990054295		18.61														

Name of the shareholders who are persons acting in concert, if available shall be disclosed separately:

- The Promoter Group as defined under Regulation 2(114) of Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011, (SAST Regulations, 2011) is as under:
 1. Tulsi R.Tanti, Gita T.Tanti, Tulsi R.Tanti as karta of Ranchhodhai Ramjibhai HUF, Tulsi R.Tanti /w. Vinod R.Tanti /w. Vinod R.Tanti /w. Jitendra R.Tanti, Tanti Holdings Private Limited, Vinod R.Tanti, Jitendra R.Tanti, Sangita V.Tanti, Lina J.Tanti, Rambhawan Ukabhai Vinod R.Tanti as karta of Vinod Ranchhodhai HUF, Jitendra R.Tanti as karta of Jitendra Ranchhodhai HUF, Pranav T.Tanti, Nishi T.Tanti, Rajan V.Tanti, Brji T.Tanti, Trisha J.Tanti, Girish R.Tanti and Samanvaya Holdings Private Limited.
- The Persons Acting in Concert (PACs), as defined in the SAST Regulations 2011 for the purpose of Regulation 10 of SAST Regulations, 2011 are as under:
 M/s. GEE SIX Enterprises, M/s. Sunrise Associates, M/s. Goldenstar Enterprises, M/s. Pioneer Resources, M/s. Expert Vision, Aalok D. Shanghi, Vibha Shanghi, Vidhi D. Shanghi, NeoStar Developers LLP, Real Gold Developers LLP, Suraksha Buildwell LLP, Sudhir V. Valia, Rishika S. Valia, Vijay M. Parekh and Parash M. Parekh.
- PACs are acting in concert with the Promoter Group based on Clause 4.4 of the Shareholders Agreement entered into between the Promoter Group, PACs and the Company.

TABLE IV - STATEMENT SHOWING SHAREHOLDING PATTERN OF THE NON-PROMOTER - NON-PUBLIC SHAREHOLDER

Category of shareholder	PAN	No. of share-holders	No. of fully paid up equity shares held	No. of equity shares partly paid up equity shares held	No. of shares underlying DRs	Total no. of equity shares held	Shareholding as a % of total no. of shares [as a % of A+B+C]	No. of Voting Rights held in each class of securities		Shareholding as a % assuming full conv. of conv. sec cap. i.e. A+B+C2	No. of locked in shares		No. of shares pledged or otherwise encumbered No. (B)	No. of equity shares held in demat form
								Class X - Equity	Class Y		No. (A)	As a % of total shares held (b)		
(1) Custodian / DR Holder	-	1	8280840	0	0	8280840	0.16	0	0	0.00	0	0	NA	8280840
(2) Employee Benefit Trust under SEBI (Share based Employee Benefit) Regulations, 2014	-	0	0	0	0	0	0.00	0	0	0.00	0	0	NA	0
Total Non Promoter - Non Public Shareholding [C = C1 +C2]		1	8280840	0	0	8280840	0.16	0	0	0.00	0	0	NA	8280840

Note: Names of all holders holding more than 1% of total number of shares are required to be given

