

SHAREHOLDING PATTERN UNDER REGULATION 31 OF SECURITIES AND EXCHANGE BOARD OF INDIA (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015

- 1 Name of Listed Entity : **SUZLON ENERGY LIMITED [CIN: L40100GJ1995PLC025447]**
- 2 Scrip Code : **532667 (BSE)**
- Name of Scrip : **SUZLON (NSE)**
- Class of Security: **Equity Shares of Rs.2 each**
- 3 Shareholding Pattern filed under Reg. 31(1)(a) / 31(1)(b) / 31(1)(c) : **31(1)(b)**
- a. if under 31(1)(b) then indicate the report for quarter ending: **December 31, 2016**
- b. if under 31(1)(c) then indicate the date of allotment / extinguishment: **Not Applicable**

- 4 Declarations: : **Yes / No**
- S.N. Particulars : **No**
- i) Whether the Company has issued any partly paid up shares? : **Yes**
- ii) Whether the Company has issued any Convertible Securities or Warrants? : **Yes**

The outstanding balances of FCCBs under various tranches issued by the Company are as under:

Tranche
 USD 546,916,000 Step-up Convertible Bonds due 2019 : **247,826,000**
 Whether the Company has any shares against which depository receipts are issued? : **Yes**
 Whether the Company has any shares in lock-in? : **Yes**
 Whether any shares held by promoters are pledged or otherwise encumbered? : **Yes**

TABLE I - SUMMARY STATEMENT HOLDING OF SPECIFIED SECURITIES

Category code	Category of shareholder	No. of share-holders	No. of fully paid up equity shares held	No. of partly paid up equity shares held	No. of shares underlying DRs	Total no. of equity shares held	Share-holding as a % of total no. of shares (as a % of A+B+C2)	No. of Voting Rights held in each class of securities		No. of shares underlying o/s conv. Sec. (incl. warrant)	Share-holding as a % assuming full conv. of a % of diluted cap.i.e. A+B+C2	No. of locked in shares		No. of shares pledged or otherwise encumbered	No. of equity shares held in demat form	
								Class X - Equity	Class Y			No. (a)	As a % of total shares held (b)			No. (a)
(i)	(ii)	(iii)	(iv)	(v)	(vi)	(vii) = (iv)+(v)+(vi)	(viii)	(ix)	(x)	(xi) = (viii)+(x)	(xii)	(xiii)	(xiv)	(xv)	(xvi)	
(A)	Promoter and Promoter Group	30	1052784456	0	0	1052784456	21.00	1052784456	0	0	17.61	42938931	4.08	1046310914	99.39	1052784456
(B)	Public	986146	3959325818	0	0	3959325818	79.00	3959325818	0	965415321	82.39	0	0.00	NA	NA	3959253362
(C)	Non Promoter Non Public	1	0	0	12288676	12288676	NA	12288676	0	0	0	0	0	NA	NA	12288676
(C1)	Shares underlying Depository Receipts (DRs)	0	0	0	0	0	0.00	0	0	0	0	0	0	NA	NA	0
(C2)	Shares held by Employee Trusts	0	0	0	0	0	0.00	0	0	0	0	0	0	NA	NA	0
	Total [A+B+C]	986177	5012110274	0	12288676	5024398950	100.00	5024398950	0	965415321	100.00	42938931	0.85	1046310914	20.82	5024326494

For Suzlon Energy Limited
H.A.Kanungo
 Company Secretary



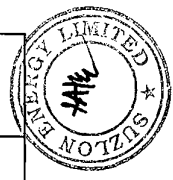
TABLE II - STATEMENT SHOWING SHAREHOLDING PATTERN OF THE PROMOTER AND PROMOTER GROUP

Category	Category of shareholder	PAN	No. of share-holders	No. of fully paid up equity shares held	No. of partly paid up equity shares held	No. of shares underlying DRs	Total no. of equity shares held	Share-holding as a % of total no. of shares (as a % of A+B+C2)	No. of Voting rights held in each class of securities	Total as a % of Total Voting rights i.e. (as a % of A+B+C)	No. of shares underlying Sec. (incl. warrant)	Share-holding as a % assuming full conv. of conv. sec. (as a % of diluted cap.i.e. A+B+C2)	No. of locked in shares	No. of shares pledged or otherwise encumbered	No. of equity shares held in demat form	
(1)	(ii)	(iii)	(iv)	(v)	(vi)	(vii)	(viii)	(ix)	(x)	(xi)	(xii)	(xiii)	(xiv)	(xv)	(xvi)	
(1)	Indian															
(a)	Individuals / HUFs															
1	Tulsu R. Tanti	AARPT6363J	1	3905000	0	0	3905000	0.08	3905000	0	0.08	0	0	3904253	99.98	3905000
2	Gita T. Tanti	AASPT6380Q	1	64512000	0	0	64512000	1.28	64512000	0	1.28	0	0	64512000	100.00	64512000
3	Tulsu R. Tanti as karta of Ranchhodbbhai HUF	AAAHT4207Q	1	18000000	0	0	18000000	0.36	18000000	0	0.36	0	0	18000000	100.00	18000000
4	Tulsu R. Tanti as karta of Ranchhodbbhai Ramjibhai HUF	AAAHT4206R	1	42570000	0	0	42570000	0.85	42570000	0	0.85	0	0	36697082	86.20	42570000
5	Tulsu R. Tanti /w. Vinod R. Tanti /w. Jitendra R. Tanti	AAATR1895E	1	42660000	0	0	42660000	0.85	42660000	0	0.85	0	0	42660000	100.00	42660000
6	Vinod R. Tanti	AARPT6367N	1	11367000	0	0	11367000	0.23	11367000	0	0.23	0	0	11367000	100.00	11367000
7	Jitendra R. Tanti	AARPT6364R	1	12400000	0	0	12400000	0.25	12400000	0	0.25	0	0	12400000	100.00	12400000
8	Sangita V. Tanti	AARPT6365Q	1	70182000	0	0	70182000	1.40	70182000	0	1.40	0	0	70182000	100.00	70182000
9	Lina J. Tanti	AARPT6366P	1	70182000	0	0	70182000	1.40	70182000	0	1.40	0	0	70182000	100.00	70182000
10	Rambhahen Ukabhai	AASPT6381R	1	3000	0	0	3000	0.00	3000	0	0.00	0	0	3000	100.00	3000
11	Vinod R. Tanti as karta of Vinod Ranchhodbbhai HUF	AAAHT4200K	2	18900000	0	0	18900000	0.38	18900000	0	0.38	0	0	18900000	100.00	18900000
12	Jitendra R. Tanti as karta of Jitendra Ranchhodbbhai HUF	AAAHT4199P	2	12723000	0	0	12723000	0.25	12723000	0	0.25	0	0	12723000	100.00	12723000
13	Pranav T. Tanti	ADJPT9753B	2	59067000	0	0	59067000	1.18	59067000	0	1.18	0	0	59067000	100.00	59067000
14	Nidhi T. Tanti	ADJPT9754G	1	3052000	0	0	3052000	0.06	3052000	0	0.06	0	0	3000000	98.30	3052000
15	Rajan V. Tanti	AFJPT0671F	1	16605000	0	0	16605000	0.33	16605000	0	0.33	0	0	16600000	99.97	16605000
16	Brij J. Tanti	AFJPT4629M	1	37117000	0	0	37117000	0.74	37117000	0	0.74	0	0	37100000	99.95	37117000
17	Trisha J. Tanti	AFJPT4641R	1	15120000	0	0	15120000	0.30	15120000	0	0.30	0	0	15100000	99.87	15120000
18	Girish R. Tanti	ABJPT3310E	2	100019000	0	0	100019000	2.00	100019000	0	1.99	0	0	100018711	100.00	100019000
(c)	Financial institutions / Banks		0	0	0	0	0	0.00	0	0	0.00	0	0	0	0.00	0
(d)	Any other (specify)		0	0	0	0	0	0.00	0	0	0.00	0	0	0	0.00	0
(i)	Bodies Corporate															
1	Tanti Holdings Pvt. Ltd.	AACCS1420K	4	158901093	0	0	158901093	3.17	158901093	0	3.16	0	0	158400000	99.68	158901093
2	Sugati Holdings Pvt. Ltd.	AAJCS0009H	2	262497868	0	0	262497868	5.24	262497868	0	5.22	0	4.39	262497868	100.00	262497868
3	Samanyaya Holdings Pvt.Ltd.	AAJCS3004L	2	33001495	0	0	33001495	0.66	33001495	0	0.66	0	0	33000000	100.00	33001495
	Sub-Total (A1)		30	1052784456	0	0	1052784456	21.00	1052784456	0	20.95	0	17.61	1046310914	99.39	1052784456
2	Foreign															
(a)	Individuals/NRIs/Foreign Individuals		0	0	0	0	0	0.00	0	0	0.00	0	0	0	0.00	0
(b)	Government		0	0	0	0	0	0.00	0	0	0.00	0	0	0	0.00	0
(c)	Institutions		0	0	0	0	0	0.00	0	0	0.00	0	0	0	0.00	0
(d)	Foreign Portfolio Investor		0	0	0	0	0	0.00	0	0	0.00	0	0	0	0.00	0
(e)	Any other (specify)		0	0	0	0	0	0.00	0	0	0.00	0	0	0	0.00	0
	Sub-Total (A2)		0	0	0	0	0	0.00	0	0	0.00	0	0	0	0.00	0
	Total Promoters [A = A1 + A2]		30	1052784456	0	0	1052784456	21.00	1052784456	0	20.95	0	17.61	1046310914	99.39	1052784456



TABLE III - STATEMENT SHOWING SHAREHOLDING PATTERN OF THE PUBLIC SHAREHOLDERS

Category	Category of shareholder	PAN	No. of share-holders	No. of fully paid up equity shares held	No. of partly paid up equity shares held	No. of shares underlying DRs	Total no. of equity shares held	Share-holding as a % of total no. of shares (as a % of A+B+C)	No. of Voting Rights held in each class of securities		No. of shares underlying o/s conv. Sec. (incl. warrant)	Share-holding as a % assuming full conv. of a % of diluted cap. i.e. A+B+C2	No. of locked in shares	No. of shares pledged or otherwise encumbered	No. of equity shares held in demat form
									Class X - Equity	Class Y					
(a)	(i)	(ii)	(iii)	(iv)	(v)	(vi)	(vii) = (iv)+(v)	(viii)	(ix)	(x)	(xi)	(xii)	(xiii)	(xiv)	
(1)	Institutions														
(a)	Mutual Funds		34	73735384	0	0	73735384	1.47	73735384	0	1.47	0	0.00	NA	73735384
(b)	Venture Capital Funds		0	0	0	0	0	0.00	0	0	0.00	0	0.00	NA	0
(c)	Alternate Investment Funds		0	0	0	0	0	0.00	0	0	0.00	0	0.00	NA	0
(d)	Foreign Venture Capital Investor		0	0	0	0	0	0.00	0	0	0.00	0	0.00	NA	0
(e)	Foreign Portfolio Investors		116	597503127	0	0	597503127	11.92	597503127	0	11.89	965415321	0	NA	597503127
	COWELL & LEE INVESTMENT (MAURITIUS) LIMITED	AAAECC969K	1	132986172	0	0	132986172	2.65	132986172	0	2.65	0	0.00	NA	132986172
(f)	Financial Institutions / Banks		30	345585516	0	0	345585516	6.90	345585516	0	6.88	0	0.00	NA	345585516
	STATE BANK OF INDIA	AAAC58577K	1	50904874	0	0	50904874	1.02	50904874	0	1.01	0	0.00	NA	50904874
(g)	Insurance Companies		2	90232017	0	0	90232017	1.80	90232017	0	1.80	0	0.00	NA	90232017
	LIFE INSURANCE CORPORATION OF INDIA	AAACLO582H	1	89932017	0	0	89932017	1.79	89932017	0	1.79	0	0.00	NA	89932017
(h)	Any Other (specify)		0	0	0	0	0	0.00	0	0	0.00	0	0.00	NA	0
(2)	Central Govt. / State Govt. (B2)		182	1107056044	0	0	1107056044	22.09	1107056044	0	22.03	965415321	0	NA	1107056044
(3)	Non-Institutions		0	0	0	0	0	0.00	0	0	0.00	0	0.00	NA	0
(a)	Individuals		971091	1128399330	0	0	1128399330	22.51	1128399330	0	22.46	0	0.00	NA	1128327874
(i)	Individuals and HUFs holding nominal capital up to Rs.2 Lacs		1133	569899806	0	0	569899806	11.37	569899806	0	11.34	0	0.00	NA	569899806
(ii)	Individuals and HUFs holding nominal capital in excess of Rs.2 Lacs		0	0	0	0	0	0.00	0	0	0.00	0	0.00	NA	0
(b)	Vitha D. Shanghvi	ANIP52068P	1	68000000	0	0	68000000	1.36	68000000	0	1.35	0	0.00	NA	68000000
	Aalok Dilip Shanghvi	AXLPS4835P	1	68000000	0	0	68000000	1.36	68000000	0	1.35	0	0.00	NA	68000000
	Vidhi D. Shanghvi	BCMPS0940L	1	68000000	0	0	68000000	1.36	68000000	0	1.35	0	0.00	NA	68000000
(b)	NBFCs registered with RBI		22	3072837	0	0	3072837	0.06	3072837	0	0.06	0	0.00	NA	3072837
(c)	Employee Trusts		0	0	0	0	0	0.00	0	0	0.00	0	0.00	NA	0
(d)	Overseas Depositories (holding DRs) (bat figure)		0	0	0	0	0	0.00	0	0	0.00	0	0.00	NA	0
(e)	Any Other (specify)		3905	1068815092	0	0	1068815092	21.32	1068815092	0	21.27	0	0.00	NA	1068814092
	Bodies Corporate	AAFFE0868H	1	55000000	0	0	55000000	1.10	55000000	0	1.09	0	0.00	NA	55000000
	Aditya Medicines Ltd. /w. Unimed Investments Ltd. /w. Ms. Vidhi Shanghvi in the capacity of partners of M/s. Expert Vision														
	Necostar Developers LLP	AAIFN7108C	1	85000000	0	0	85000000	1.70	85000000	0	1.69	0	0.00	NA	85000000
	Real Gold Developers LLP	AAAMFR2044P	1	85000000	0	0	85000000	1.70	85000000	0	1.69	0	0.00	NA	85000000
	Tejaskiran Pharmachem Industries Pvt. Ltd. /w. Virtuom Finance Pvt. Ltd. /w. Aalok D. Shanghvi in the capacity of partners of M/s. Goldenstar Enterprises	AAOFG0104D	1	100900000	0	0	100900000	2.01	100900000	0	2.01	0	0.00	NA	100900000



Sr. No.	Name shareholders acting as persons in concert including their shareholding (No. and %)	No. of fully paid up equity shares held	Total as a % of A+B+C
1	Family Investment Pvt. Ltd. /w. Virtuous Share Investments Pvt. Ltd. J/w. Vibha Shanghi in the capacity of partners of M/s. Pioneer Resources	1	1009000000
2	Suraksha Buildwell LLP	1	1100000000
3	Family Investment Pvt. Ltd. J/w. Quality Investment Pvt. Ltd. J/w. Kurnud S. Shanghi in the capacity of partners of M/s. Sunrise Associates	1	1009000000
4	Clearing Members	297	5173802
5	Trusts	29	3371985
6	Non Resident Indians	7215	58342598
7	Non Resident Indians Non Repatriable	2263	13261592
8	Foreign Corporate Bodies	1	860232
9	Foreign Nationals	8	72500
10	Sub-Total (B3)	13718	1150897801
11	Sub-Total (B = B1+B2+B3)	986146	2852269774
12	Family Investment Pvt. Ltd. J/w. Quality Investment Pvt. Ltd. J/w. Kurnud S. Shanghi in the capacity of partners of M/s. Sunrise Associates	1009000000	2.01
13	Tajikistan Pharmedchem Industries Pvt. Ltd. J/w. Virtuous Share Investments Pvt. Ltd. J/w. Vibha Shanghi in the capacity of partners of M/s. Pioneer Resources	1009000000	2.01
14	Aditya Medicals Ltd. J/w. United Investments Ltd. J/w. Mr. Vihai Shanghi in the capacity of partners of M/s. Expert Vision	55000000	1.09
15	Ashok S. Shanghi	68000000	1.35
16	Vibha Shanghi	68000000	1.35
17	Vidhi D. Shanghi	68000000	1.35
18	Necstar Developers LLP	85000000	1.69
19	Real Gold Developers LLP	85000000	1.69
20	Suraksha Buildwell LLP	110000000	2.19
21	Sudhir V. Valla	29295	0.00
22	Rajesh S. Valla	25000	0.00
23	Vijay M. Parekh	5000000	0.10
24	Parash M. Parekh	5000000	0.10
25	Total	990054295	19.70

Note: Names of all holders holding more than 1% of total number of shares are required to be given

Details of shareholders acting as persons in concert including their shareholding (No. and %)

Sr. No.	Name shareholders acting as persons in concert	No. of fully paid up equity shares held	Total as a % of A+B+C
1	Family Investment Pvt. Ltd.	225000000	0.45
2	Quality Investment Pvt. Ltd.	254000000	0.51
3	Vidhi Investment Pvt. Ltd.	310000000	0.62
4	Virtuous Finance Pvt. Ltd.	185000000	0.37
5	Virtuous Share Investments Pvt. Ltd.	130000000	0.26
6	Tajikistan Pharmedchem Industries Pvt. Ltd.	279000000	0.56
7	Family Investment Pvt. Ltd. J/w. Quality Investment Pvt. Ltd. J/w. Kurnud S. Shanghi in the capacity of partners of M/s. Sunrise Associates	1009000000	2.01
8	Tajikistan Pharmedchem Industries Pvt. Ltd. J/w. Virtuous Share Investments Pvt. Ltd. J/w. Ashok S. Shanghi in the capacity of partners of M/s. Goldenstar Enterprises	1009000000	2.01
9	Vidhi Investment Pvt. Ltd. J/w. Virtuous Share Investments Pvt. Ltd. J/w. Vibha Shanghi in the capacity of partners of M/s. Pioneer Resources	1009000000	2.01
10	Aditya Medicals Ltd. J/w. United Investments Ltd. J/w. Mr. Vihai Shanghi in the capacity of partners of M/s. Expert Vision	55000000	1.09
11	Ashok S. Shanghi	68000000	1.35
12	Vibha Shanghi	68000000	1.35
13	Vidhi D. Shanghi	68000000	1.35
14	Necstar Developers LLP	85000000	1.69
15	Real Gold Developers LLP	85000000	1.69
16	Suraksha Buildwell LLP	110000000	2.19
17	Sudhir V. Valla	29295	0.00
18	Rajesh S. Valla	25000	0.00
19	Vijay M. Parekh	5000000	0.10
20	Parash M. Parekh	5000000	0.10
21	Total	990054295	19.70



Name of the shareholders who are persons acting in concert, if available shall be disclosed separately:

- 1 The Promoter Group as defined under Regulation 2(1)(f) of Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers Regulations, 2011, (SAST Regulations 2011) is as under:
Tulsi R.Tanti, Gita T.Tanti, Tulsi R.Tanti as karta of Tulsi Ranchhodhai HUF, Tulsi R.Tanti as karta of Ranchhodhai Ramjibhai HUF, Tulsi R.Tanti J/w. Jitendra R.Tanti, Tanti Holdings Private Limited, Vinod R.Tanti, Jitendra R.Tanti, Sangita V.Tanti, Lina J.Tanti, Rambhoben Ukabhai, Vinod R.Tanti as karta of Vinod Ranchhodhai HUF, Jitendra R.Tanti as karta of Jitendra Ranchhodhai HUF, Pranav T.Tanti, Nidhi T.Tanti, Rajan V.Tanti, Brij J.Tanti, Trisha J.Tanti, Girish R.Tanti, Sugati Holdings Private Limited and Samanvaya Holdings Private Limited.
- 2 The Persons Acting in Concert (PACs), as defined in the SAST Regulations 2011 for the purpose of Regulation 10 of SAST Regulations, 2011 are as under:
Family Investment Pvt. Ltd., Quality Investment Pvt. Ltd., Viditi Investment Pvt. Ltd., Virtuous Finance Pvt. Ltd., Virtuous Share Investments Pvt. Ltd., Tejasirian Pharmachem Industries Pvt.Ltd, M/s. Sunrise Associates, M/s. Goldenstar Enterprises, M/s. Pioneer Resources, M/s. Expert Vision, Aalok D. Shanghvi, Vibha Shanghvi, Vidhi D Shanghvi, Neostar Developers LLP, Real Gold Developers LLP, Suraksha Buildwell LLP, Sudhir V. Valia, Raksha S. Valia, Vijay M. Parekh and Paresh M. Parekh.
- 3 PACs are acting in concert with the Promoter Group based on Clause 4.4 of the Shareholders Agreement entered into between the Promoter Group, PACs and the Company.





TABLE IV - STATEMENT SHOWING SHAREHOLDING PATTERN OF THE NON-PROMOTER - NON-PUBLIC SHAREHOLDER

Category code	Category of shareholder	PAN	No. of share-holders	No. of fully paid up equity shares held	No. of partly paid up equity shares held	No. of shares underlying DRs	Total no. of equity shares held	Share-holding as a % of total no. of shares (as a % of A+B+C2)	No. of Voting Rights held in each class of securities		No. of shares underlying o/s conv. Sec. (incl. warrant)	Share-holding as a % assuming full conv. of conv. sec (as a % of diluted cap.i.e. A+B+C2)	No. of locked in shares		No. of shares pledged or otherwise encumbered	No. of equity shares held in demat form	
									Class X - Equity	Class Y			No. (a)	As a % of total shares held (b)			No. (e)
	(i)	(ii)	(iii)	(iv)	(v)	(vi)	(vii) = (iv)+(v)+(vi)	(viii)	(ix)	(x)	(xi) = (ix)/(x)	(xii)	(xiii)	(xiv)	(xv)	(xvi)	
(1)	Custodian / DR Holder	-	1	12288676	0	0	12288676	0.25	12288676	0	0.24	0.00	0	0	NA	NA	12288676
(2)	Employee Benefit Trust under SEBI (Share Based Employee Benefit) Regulations, 2014	-	0	0	0	0	0	0.00	0	0	0.00	0.00	0	0	NA	NA	0
	Total Non Promoter - Non Public Shareholding [C = C1 +C2]		1	12288676	0	0	12288676	0.25	12288676	0	0.24	0	0	0	NA	NA	12288676

Note: Names of all holders holding more than 1% of total number of shares are required to be given