

Suzlon Energy Ltd.

Regd. Office:
"Suzlon", 5, Shrimali Society, Near Shri Krishna Complex, Navrangpura
Ahmedabad - 380 009, India

SUZLON

POWERING A GREENER TOMORROW

Phone : +91.79.66045000 / 26407141
Fax : +91.79.26565540
E-mail : ho@suzlon.com
URL : www.suzlon.com

24th May 2019.

National Stock Exchange of India Limited,
"Exchange Plaza",
Bandra-Kurla Complex, Bandra (East),
Mumbai-400051.

BSE Limited,
P.J. Towers,
Dalal Street,
Mumbai-400001.

Dear Sirs,

Sub.: Annual Secretarial Compliance Report.

Ref.: SEBI Circular No. CIR/CFD/CMD1/27/2019 dated 8th February 2019.

In terms of SEBI Circular No.CIR/CFD/CMD1/27/2019 dated 8th February 2019 enclosed please find the Annual Secretarial Compliance Report dated 30th April 2019 of Suzlon Energy Limited for the year ended 31st March 2019 issued by Mr. Dinesh Joshi, Kanj & Co. LLP, Company Secretaries, Pune.

This is for your information and records.

Thanking you,

Yours faithfully,
For Suzlon Energy Limited

H.A.Kanuga
Hemal A.Kanuga,
Company Secretary.



Encl.: As above.

Sr. No	Action taken by	Details of violation	Details of action taken E.g. fines, warning letter, debarment, etc.	Observations/ remarks of the Practicing Company Secretary, if any.
1.	Securities and Exchange Board of India	<p>The Company had violated Section 21 of the SCRA, 1956 read with clause 36 of Listing Agreement and clause 2.1 of Schedule II - code of corporate disclosure practices for prevention of insider trading read with regulation 12 of SEBI (Prohibition of Insider Trading) Regulations, 1992.</p> <p>Mr. Hemal A Kanuga, Compliance Officer of the Company had violated Clause 3.2 of Schedule II code of corporate disclosure practices for prevention of insider trading read with regulation 12 of SEBI (Prohibition of Insider Trading) Regulations, 1992.</p>	<p>SEBI has imposed a monetary penalty of Rs. 1,00,00,000/- (Rupees One Crore) on the Company under section 23E of SCRA and Rs. 5,00,000/- (Rupees Five Lakhs) on the Company under section 23A(a) of SCRA and a penalty of Rs. 5,00,000/- (Rupees Five Lakhs) on the Company and Compliance Officer of the Company under section 15HB of SEBI Act.</p>	<p>The Company has intimated the Stock Exchanges regarding the penalty imposed pursuant to the Regulation 30 of the SEBI (LODR) Reg., 2015 and has also put up the matter in the subsequent Board Meeting. An appeal has also been filed with Securities Appellate Tribunal.</p>

(d) The listed entity has taken the following actions to comply with the observations made in previous reports: This being the first report, this clause is not applicable and hence nothing to comment on.




Sr. No	Observations of the Practicing Company Secretary in the previous reports	Observations made in the secretarial compliance report for the year ended...	Actions taken by the listed entity, if any	Comments of the Practicing Company Secretary on the actions taken by the listed entity
NOT APPLICABLE				



Dinesh Joshi

DINESH JOSHI
DESIGNATED PARTNER
KANJ & CO. LLP
COMPANY SECRETARIES
FCS No.: 3752
C P No.: 2246

DATE: 30th April, 2019
PLACE: Pune